DAVID M. LOUIE 2162 Attorney General of Hawaii ORIGINAL

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HAWAH LABOR RELATIONS BOARD

HERBERT B.K. LAU 2591 Deputy Attorney General Department of Attorney General, State of Hawaii Labor Division 425 Queen Street Honolulu, Hawaii 96813 Telephone: 586-1450

Attorneys for Complainant Director of Labor and Industrial Relations

#### STATE OF HAWAII

#### HAWAII LABOR RELATIONS BOARD

In the Matter of	) CASE NO. OSH 2013-11
DIRECTOR, DEPARTMENT OF LABOR AND INDUSTRIAL RELATIONS,	<ul><li>) (Inspection No. 316270081)</li><li>) STIPULATION AND SETTLEMENT</li><li>) AGREEMENT; EXHIBIT A; APPROVAL</li></ul>
Complainant,	) AND ORDER
VS.	)
vs.	)
PACIFIC CONSULTANTS & CONSTRUCTION, INC.,	)
construction, inc.,	)
Respondent.	,

## STIPULATION AND SETTLEMENT AGREEMENT

Complainant Director of Labor and Industrial Relations ("Director") and Respondent PACIFIC CONSULTANTS & CONSTRUCTION, INC. ("Respondent") having reached a full and complete settlement of the above-captioned contested case presently pending before the Hawaii Labor Relations Board ("Board") stipulate and agree as follows:

On or about January 10, 2013, the Director, by and through the State of Hawaii's Occupational Safety and Health Division ("HIOSH"), inspected Respondent's workplace located

at 91-196 Hanua Street, Kapolei, Hawaii, 96707.

As a consequence of said inspection, the Director, through HIOSH's Administrator, issued a Citation and Notification of Penalty on June 3, 2013 ("Citation") to Respondent alleging violations of the Hawaii Occupational Safety and Health Standards and assessed an aggregate penalty of \$825.00. See Exhibit A.

Respondent timely contested the Citation.

THEREFORE, to avoid the expense of litigation and to compromise and settle the above-captioned case, the parties, by and through their respective representatives, stipulate and agree as follows:

- The Board has jurisdiction over this contested case pursuant to section
  Hawaii Revised Statutes ("HRS").
- At all relevant times, Respondent maintained a workplace at 91-196
  Hanua Street, Kapolei, Hawaii, 96707.
- At all relevant times, Respondent was an employer, as defined in HRS § 396-3, and employed employees, as defined in HRS § 396-3, and was therefore subject to the requirements of HRS chapter 396, the Hawaii Occupational Safety and Health Law.
- 4. Respondent agrees that it has or will abate each violation listed in the Citation in compliance with the abatement requirements under Hawaii Administrative Rule § 12-51-22. Respondent shall submit the completed abatement certification to HIOSH no later than thirty (30) days after the filing of this Agreement; failure to timely do so may result in additional penalties or follow up inspections.
- The violations and corresponding characterizations as stated in the
  Citation are affirmed. See Exhibit A.

- 6. The Director reduces the aggregate penalty from \$825.00 to \$577.50 which shall be paid in full to the Director of Budget and Finance upon the execution of this Agreement.
- 7. If Respondent fails to fulfill any condition of this Agreement within the time stated, then, Respondent shall automatically and without further notice be liable for the entire original penalty in the amount of \$825.00. All amounts shall be immediately due and owing. If Respondent fails to pay all penalties and the Director institutes legal action to collect on the outstanding amount due, Respondent agrees to pay all fees and costs, including reasonable attorney's fees, incurred to collect on the unpaid penalties. Any forbearance by the Director in exercising any right or remedy shall not be a waiver of or preclude the exercise of any right or remedy.
- 8. Except for the stipulated amendments described above, the Citation is confirmed in all other respects and upon approval by the Board, this Agreement and the Citation, as amended, shall become a final order of the Director.
- 9. Respondent shall post a copy of this Agreement and Order in a prominent place at or near the location of the posting of the Citation, and the Agreement and Order shall remain posted for three working days (excluding weekends and State holidays).
- 10. Respondent shall continue to comply with HRS chapter 396, the HawaiiOccupational Safety and Health Law, and the related rules.
- 11. Nothing in this Agreement shall bar the Director from taking any action regarding future acts or practices by Respondent which may be alleged to violate HRS chapter 396, the Hawaii Occupational Safety and Health Law, or the related rules.
  - 12. Upon approval of this Agreement, all proceedings before the Board shall

be dismissed.

DATED: Honolulu, Hawaii,	SEP 1 8 2013
DATED: Honolulu, Hawaii,	SEP 18 2013

PACIFIC CONSULTANTS & CONSTRUCTION COMPANY, INC.

By: C.L. (Trey) GILMER, III

President

APPROVED AS TO FORM:

DIRECTOR OF LABOR AND INDUSTRIAL RELATIONS

HERBERT B.K. LAU

Deputy Attorney General

Attorney for Director of Labor and Industrial Relations, State of Hawaii

DWIGHT TAKAMINI

APPROVED AND SO ORDERED BY HAWAII LABOR RELATIONS BOARD:

ORDER NO. 521

DATED: September 20, 2013

JAMES B. NICHOLSON, Chair

SESNITA A.D. MOEPONO, Member

ROCK B. LEY, Member

#### State of Hawaii

Department of Labor and Industrial Relations HAWAII OCCUPATIONAL SAFETY AND HEALTH DIVISION 830 PUNCHBOWL STREET, ROOM 423 HONOLULU, HI 96813

Phone: (808)586-9100

FAX: (808)586-9104

Certified Number: 7012 2210 0002 6655 5989



# Citation and Notification of Penalty

To:

Pacific Consultants & Construction Inc and its successors P O Box 701047 Kapolei, HI 96709-1047 Inspection Number:

316270081

**Inspection Date(s)**:

01/10/2013- 01/10/2013

**Issuance Date:** 

06/03/2013

Inspection Site: 91-196 Hanua St Kapolei, HI 96707 The violation(s) described in this Citation and Notification of Penalty is (are) alleged to have occurred on or about the day(s) the inspection was made unless otherwise indicated within the description given below.

This Citation and Notification of Penalty describes violations of the Hawaii Occupational Safety and Health Law. The penalty(ies) listed herein is (are) based on these violations. You must abate the violations referred to in this Citation by the dates listed and pay the penalties, unless within 20 calendar days from your receipt of this Citation and Notification of Penalty, you mail a notice of contest to the State of Hawaii Occupational Safety and Health Division (HIOSH) at the address shown above. Please refer to the enclosed booklet (Employer Rights and Responsibilities Following a HIOSH Inspection) which outlines your rights and responsibilities and which should be read in conjunction with this form. Issuance of this Citation does not constitute a finding that a violation of the Law has occurred unless there is a failure to contest as provided for in the Law or, if contested, unless this Citation is affirmed by the Hawaii Labor Relations Board (HLRB) or a court.

**Posting** - The law requires that a copy of this Citation and Notification of Penalty be posted immediately in a prominent place at or near the location of the violation(s) cited herein, or, if it is not practicable because of the nature of the employer's operations, where it will be readily observable by all affected employees. This Citation must remain posted until the violation(s) cited herein has (have) been abated, or for 3 working days (excluding weekends and State holidays), whichever is longer. The penalty dollar amounts need not be posted and may be marked out or covered up prior to posting.

EXHIBIT A

**Informal Conference** - An informal conference is not required. However, if you wish to have such a conference you may request one with the Administrator during the 20 calendar day contest period. During such an informal conference, you may present any evidence or views which you believe would support an adjustment to the citation(s) and/or penalty(ies).

If you are considering a request for an informal conference to discuss any issues related to this Citation and Notification of Penalty, you must take care to schedule it early enough to allow time to contest after the informal conference, should you decide to do so. Please keep in mind that a written letter of intent to contest must be submitted to the Administrator within 20 calendar days of your receipt of this Citation. The running of this contest period is not interrupted by an informal conference.

If you decide to request an informal conference, please complete, remove and post the page 5, Notice to Employees, next to this Citation and Notification of Penalty as soon as the time, date, and place of the informal conference have been determined. Be sure to bring to the conference any and all supporting documentation of existing conditions as well as any abatement steps taken thus far. If conditions warrant, we can enter into an informal settlement agreement which amicably resolves this matter without litigation or contest.

Employers' Right to Contest - You have the right to contest this Citation and Notification of Penalty. You may contest all citation items or only individual items. You may also contest penalties and/or abatement dates without contesting the underlying violations. <u>Unless you inform the Administrator in writing that you intend to contest the citation(s) and/or penalty(ies) within 20 calendar days after receipt, the citation(s) and the penalty(ies) will become a final order of the Department of Labor and Industrial Relations and may not be reviewed by any court or agency. Once a letter of contest is received, it becomes the jurisdiction of the HLRB.</u>

**Penalty Payment** - Penalties are due within 20 calendar days of receipt of this notification unless contested. Make your check or money order payable to "Director of Budget and Finance." Please indicate the Inspection Number on the remittance.

HIOSH does not agree to any restrictions or conditions or endorsements put on any check or money order for less than the full amount due, and will cash the check or money order as if these restrictions, conditions, or endorsements do not exist.

Notification of Corrective Action - For <u>each</u> violations which you do not contest, you are required by Section 12-51-22 to submit an Abatement Certification to HIOSH. The certification <u>must</u> be sent by you within <u>5 calendar days</u> of the abatement date indicated on the citation. For Willful and Repeat violations, documents (examples: photos, copies of receipts, training records, etc.) demonstrating that abatement is complete must accompany the certification. Where the citation is classified as Serious and the citation states that abatement documentation is required, documents such as those described above are required to be submitted along with the abatement certificate. If the citation indicates that the violation was corrected during the inspection, no abatement certification is required for that item.

All abatement verification documents must contain the following information: 1) Your name and address; 2) the inspection number (found on the front page); 3) the citation and citation item number(s) to which the submission relates; 4) a statement that the information is accurate; 5) the signature of the employer or employer's authorized representative; 6) the date the hazard was corrected; 7) a brief statement of how the hazard was corrected; and 8) a statement that affected employees and their representatives have been informed of the abatement.

The law also requires a copy of all abatement verification documents, required by Section 12-51-22 to be sent to HIOSH, also be posted at the location where the violation appeared and the corrective action took place.

**Employer Discrimination Unlawful** - The law prohibits discrimination by an employer against an employee for filing a complaint or for exercising any rights under this Act. An employee who believes that he/she has been discriminated against may file a complaint no later than 60 days after the discrimination occurred with the Hawaii Occupational Safety and Health Division at the address shown above.

**Employer Rights and Responsibilities** - The enclosed booklet (Employer Rights and Responsibilities Following a HIOSH Inspection) outlines additional employer rights and responsibilities and should be read in conjunction with this notification.

**Employees' Right to Contest** - The law gives an employee or his/her representative the opportunity to object to any abatement date set for a violation if he/she believes the date to be unreasonable. The contest must be mailed to the Hawaii Occupational Safety and Health Division at the address shown above and postmarked within 20 calendar days of the receipt by the employer of this Citation and Notification of Penalty.

**Inspection Activity Data** - You should be aware that OSHA publishes information on inspection and citation activity on the Internet under the provisions of the Electronic Freedom of Information Act. The information related to your inspection will be available 30 calendar days after the Citation Issuance Date. You are encouraged to review the information concerning your establishment at WWW.OSHA.GOV. If you have any dispute with the accuracy of the information displayed, please contact this ofice.

## ABATEMENT CERTIFICATION

DIANTHA M. GOO, ADMINISTRATOR DEPARTMENT OF LABOR AND INDUSTRIAL RELATIONS HAWAII OCCUPATIONAL SAFETY AND HEALTH DIVISION 830 PUNCHBOWL STREET, ROOM 423 HONOLULU, HI 96813

Pacific Consultants & Construction Inc P O Box 701047 Kapolei, HI 96709-1047

Citation	n Inspection Number and Item	was corrected	for on	the violation identified as	
Citation	and Item	was corrected	on	the violation identified as	
The hazard referenced in Citation	n Inspection Number	was corrected	for on	the violation identified as	
Citation	Inspection Numberand Item	was corrected	on	the violation identified as	·
The hazard referenced ir Citation	Inspection Numberand Item	was corrected	for on		
I attest that the informa representatives have been	tion contained in this doc n informed of the abateme	cument is accur	rate	and that the affected employe	
Signature					
Typed or Printed Name					

## State of Hawaii

Department of Labor and Industrial Relations Hawaii Occupational Safety and Health Division



# NOTICE TO EMPLOYEES OF INFORMAL CONFERENCE

An informal conference has been scheduled with HIOSH to discuss the citation(s) issued o	n
06/03/2013. The conference will be held at the HIOSH office located at 830 PUNCHBOW	
STREET, ROOM 423, HONOLULU, HI, 96813 on at	. •
Employees and/or representatives of employees have a right to attend an informal conference.	

#### State of Hawaii

Department of Labor and Industrial Relations Hawaii Occupational Safety And Health Division **Inspection Number:** 316270081

Inspection Dates: 01/10/2013 - 01/10/2013

**Issuance Date:** 

06/03/2013



## Citation and Notification of Penalty

Company Name: **Inspection Site:** 

Pacific Consultants & Construction Inc

91-196 Hanua St, Kapolei, HI 96707

Citation 1 Item 1 Type of Violation: Serious

29 CFR 1926.652(a)(1) [Refer to Chapter 12-110-50(a), HAR] was violated because:

One employee was working inside an open excavation that was not adequately protected with the proper shoring. The length of the open trench was 27 feet, the width was 5 feet 4 inches and 7 feet 2 inches deep. The inadequate shoring exposed employees to potential cave-ins.

29 CFR 1926.652(a)(1) states "Protection of employees in excavation. Each employee in an excavation shall be protected from cave-ins by an adequate protective system designed in accordance with paragraph (b) or (c) of this section except when: (i) Excavations are made entirely in stable rock; or; (ii) Excavations are less than 5 feet (1.52 m) in depth and examination of the ground by a competent person provides no indication of a potential cave-in."

Location: Job site

Date By Which Violation Must be Abated:

Penalty:

Corrected

825.00

Administrator