

#### HAWAII LABOR RELATIONS BOARD

#### ANNUAL REPORT TO THE HONORABLE DAVID IGE GOVERNOR OF THE STATE OF HAWAII

FY 2013-2014

## PRESENTED BY THE HAWAII LABOR RELATIONS BOARD

JAMES B. NICHOLSON, Chair SESNITA A.D. MOEPONO, Member ROCK B. LEY, Member

# **Table of Contents**

| I.   | EXECUTIVE SUMMARY2                               |
|------|--|
| II.  | INTRODUCTION2                                    |
| III. | MISSION STATEMENT Error! Bookmark not defined.   |
| IV.  | OVERVIEW   |
| Α    | Governing Constitutional Provisions and Statutes |
| В    | 8. Board Functions                               |
| С    | 2. Board Members4                                |
| D    | D. Board Staff                                   |
| VI.  | DATA ON THE PUBLIC SECTOR BARGAINING UNITS6      |
| Ε    | Exclusive Representatives7                       |
| Ν    | umber of Employees in Units8                     |
| VII  | CASES BEFORE THE BOARD DURING FY 20149           |
| С    | Case Statistics9                                 |
| VII  | I. PUBLICATIONS10                                |
| IX.  | CONCLUSION10                                     |

#### I. INTRODUCTION

Pursuant to HRS § 89-5(a), the Board presents its annual report to the Governor describing its activities for fiscal year 2014 and reflecting the status of the Board on June 30, 2014.

#### II. EXECUTIVE SUMMARY

The Hawaii Labor Relations Board (HLRB or Board) is a quasi-judicial agency that administers the provisions of Hawaii Revised Statutes (HRS) Chapters 89 and 377 regarding collective bargaining in public and private employment. The mission of the Board is to enforce and protect the rights of public employees and public unions to organize and bargain collectively in balance with the public employer's rights to manage operations as provided by law and to fairly and efficiently resolve labor disputes brought before it. The Board is attached to the State Department of Labor and Industrial Relations (DLIR) for administrative and budgetary purposes only.

The Board has jurisdiction over public employers, i.e., the State of Hawaii and the counties, the Judiciary, the Department of Education, including the public charter schools, the University of Hawaii system, and the Hawaii Health Systems Corporation. In the private sector, the Board has jurisdiction over employees, employers and unions who are not subject to the jurisdiction of the National Labor Relations Board. Historically this has included agricultural employees and employers.

The Board conducts hearings pursuant to HRS Chapter 89 and 377 to decide complaints filed by employees, unions, and employers alleging prohibited or unfair labor practices. These cases typically involve an employer or union's failure to bargain in good faith, an employer or union's interference with an employee's right to participate in or refrain from bargaining activities, or a union's failure to fairly represent its members in the negotiation of agreements or the pursuit of grievances. The Board also conducts union representation elections, supervises the impasse procedures in public employment, and issues declaratory rulings, which clarify the applicability of governing statutes and its rules.

In 2002, the Board also acquired jurisdiction to conduct de novo hearings on contests of citations issued by the DLIR Director through the Hawaii Occupational Safety and Health Division (HIOSH) under HRS Chapter 396 (HIOSH) and appeals from HIOSH's findings in discrimination complaints involving retaliation for reporting safety and health violations. The Board's mission pursuant to HRS Chapter 396 is to ensure the right of workers to a safe and healthful work environment and encourage employer and employee efforts to reduce injury and illness arising out of employment.

#### III. OVERVIEW

#### A. <u>Governing Constitutional Provisions and Statutes</u>

Private employees in the State of Hawaii have a constitutional right to organize. Article XIII, Section 1 of the State Constitution, provides that, "Persons in private employment shall have the right to organize for the purpose of collective bargaining." The Hawaii Employment Relations Act (HERA) was enacted in 1945 and codified as HRS Chapter 377 to permit employees who are not subject to the Railway Labor Act or the National Labor Relations Act to participate in collective bargaining. The Hawaii Employment Relations Board (HERB) was created to administer the provisions of the HERA.

Similarly, in 1968, the State Constitution was amended to afford public employees in the State of Hawaii the right to organize for the purpose of collective bargaining. Article XIII, Section 2 of the State Constitution, provides that, "Persons in public employment shall have the right to organize for the purpose of collective bargaining as provided by law."

In 1970, the Legislature enacted Act 171, Session Laws of Hawaii, which was subsequently codified as HRS Chapter 89, Collective Bargaining in Public Employment, to encourage joint decision-making in administering government. The Act created the Hawaii Public Employment Relations Board (HPERB) to administer the provisions of HRS Chapter 89. In 1985, the Legislature abolished the HERB, transferred its functions to the HPERB, and renamed it the Hawaii Labor Relations Board, effective January 1, 1986, to administer the provisions of both HRS Chapters 89 and 377.

Thereafter, in 2002, the Legislature enacted Act 104, Session Laws of Hawaii, which empowered the Board to conduct de novo hearings in reviewing contests of citations or orders of the Director of Labor and Industrial Relations involving occupational health and safety pursuant to HRS § 396-11.<sup>1</sup>

## B. Board Functions

The Board is an agency within the DLIR for administrative and budgetary purposes. The Board exercises quasi-judicial powers with jurisdiction over disputes pertaining to collective bargaining in the public sector arising under HRS Chapter 89 and in the private sector under HRS Chapter 377. Accordingly, the primary duties of its members are to hear and decide contested cases involving prohibited or unfair labor practice complaints and to render declaratory rulings on questions submitted. These cases typically involve an employer or union's failure to bargain in good faith, an employer or union's interference with an employee's right to participate in or refrain from bargaining activities, or a union's failure to fairly represent its members in the negotiation of agreements or the pursuit of grievances. The Board also

conducts union representation elections, supervises the impasse procedures in public employment, and issues declaratory rulings to clarify the applicability of governing statutes and its rules. In addition, the Board also resolves disputes involving bargaining unit designations and determines the appropriateness of dues refunds for nonmembers.

In the public sector, the Board has jurisdiction over state and county employees, judiciary employees, public school teachers, faculty of the University of Hawaii and community college system, employees of the Hawaii Health Systems Corporation, and charter school employees.

In the private sector, the Board similarly conducts representation elections and resolves unfair labor practice complaints. The Board has jurisdiction over private employees and employers who are not subject to the jurisdiction of the National Labor Relations Board. Historically this includes primarily agricultural employees, employers and their unions. Typically, the employees are members of unions or are involved with organizing activities.

In addition, the Board decides contests and appeals of decisions rendered by the Director of Labor and Industrial Relations, State of Hawaii, through HIOSH under HRS Chapter 396. These cases are typically employer contests of citations and penalties issued and appeals in discrimination cases involving retaliation against employees for reporting safety and health violations.

## C. Board Members

The Board is composed of three members, the Chair, who represents the public, one member who represents management, and the third member who represents labor. Each member is appointed by the governor and confirmed by the Senate for six-year terms. Because cumulative experience and continuity in office are essential to the proper administration of HRS Chapter 89, the two-term appointment limit in HRS § 26-34 is not applicable, and members can continue in office as long as efficiency is demonstrated. The Board is composed of the following:

**JAMES B. NICHOLSON**, Chair, appointed July 1, 2007; annual salary governed by HRS § 89-5. Mr. Nicholson graduated from Saint Louis School in Honolulu, Hawaii and received his Bachelor of Arts from Michigan State University. He received his Juris Doctorate degree from the William S. Richardson School of Law and was admitted to the Hawaii State Bar in 1986. During his professional career he was a Union representative for the Kansas City Chiefs; Management negotiator for the Hawaii Employers Council; Production Manager for Weyerhaeuser Paper Company, represented the Teamsters Local 996 and State Organization of Police Officers in grievance arbitration cases, represented Hawaiian Electric Company in grievance arbitration cases and served as an arbitrator in public and private labor disputes.

**SESNITA A.D. MOEPONO**, Member, representative of management, appointed and confirmed for a six year term beginning on July 1, 2011; annual salary governed by HRS § 89-5. Ms. Moepono graduated from Punahou School, University of Hawaii with a Bachelor of Arts, and the William S. Richardson School of Law in 1986 with a J.D. She is a member of the Hawaii State Bar Association. Ms. Moepono was in private practice from 1998-2011. From 1994-1997, she served as the Deputy Administrator of Operations, Office of Hawaiian Affairs, responsible for the administrative functions, i.e. fiscal, personnel, public information, cultural, legislative and public information. She has worked in the Legislature as a budget analyst for the Senate Ways and Means Committee and legislative researcher for the Senate Majority Research Office and the Committees on Judiciary, Labor, Transportation and Health. Ms. Moepono served as the Chair of the Liliha Neighborhood Board 2003-2007, served as Vice Chair during her tenure on the Honolulu Planning Commission 1994-2007, and a member of the Downtown Business Association, Kupuna Caucus, the Honolulu Committee on Aging, the Juvenile Justice SAC, and the Lanakila Multi-Purpose Committee, among others.

**ROCK B. LEY**, Member, representative of labor, appointed and confirmed for a five- year term beginning July 1, 2011; annual salary governed by HRS § 89-5. Mr. Ley previously served as the hearings officer for the LIRAB. Prior to that, Mr. Ley was in private practice after having served as a deputy attorney general in the Labor Division of the Department of the Attorney General, State of Hawaii. Mr. Ley graduated from Dartmouth College with a Bachelor of Arts degree in History and from the Johns Hopkins University with a Doctor of Philosophy degree in modern social and economic history. He received his Juris Doctorate degree from Southwestern University School of Law, and was admitted to the Hawaii State Bar in 1986.

#### D. Board Staff

Pursuant to HRS § 89-5(a), the Board may appoint the members of its staff. The Board's secretary and legal clerk are in the civil service system and excluded from collective bargaining. Other staff members are exempt from civil service and excluded from collective bargaining. The staff is composed of the following:

**SARAH R. HIRAKAMI**, Executive Officer; annual salary governed by HRS § 89-5. Ms. Hirakami serves as legal counsel to the Board, represents the Board in the courts, and performs such legal and administrative duties as may be delegated by the Board Chair. Her administrative duties may include supervising the other staff members; drafting and editing Board publications and decisions; and responding to inquiries from the public. Ms. Hirakami began serving as the Board's Executive Officer in July, 2013. She also served on the Board from July 1, 2006 to June 1, 2011 as the management representative. Ms. Hirakami previously served as deputy legal counsel to the Board of Water Supply, City and County of Honolulu, and as a deputy attorney general in the Employment Law Division of the Office of the Attorney General, State of Hawaii. Prior to becoming an attorney, Ms. Hirakami worked as an engineer. Ms. Hirakami graduated from the University of Hawaii with a Bachelor of Science degree from the College of Engineering, and she received a Juris Doctorate degree from the William S. Richardson School of Law with a certificate in Environmental Law.

**LINDA K. GOTO**, Hearings Officer; annual salary governed by HRS § 89-5. Ms. Goto serves as a legal counsel to the Board, represents the Board in the courts, and performs legal duties as may be delegated by the Board Chair. Ms. Goto has served as Hearings Officer with the Board since March 2014. She graduated from Punahou School, Mount Holyoke College with a Bachelor of Arts degree, and the Columbus School of Law with a Juris Doctor degree. She was admitted to and has been a member of the Hawaii State Bar since 1978. Ms. Goto previously worked as a Hearings Officer for the HPERB, the predecessor of the HLRB; a legislative researcher for the Hawaii Legislative Reference Bureau; a rules drafter for the Hawaii State Tax Department; and as an attorney in both solo and firm private practice.

**NORA A. EBATA**, Secretary IV; SR 18L. Ms. Ebata provides clerical services for the Chair and Board members. She also serves as the office manager, supervises the Board's clerical staff and is responsible for fiscal and personnel recordkeeping, including purchasing and travel for the Board. Ms. Ebata types from a draft and finalizes Board decisions, orders, notices, and other Board publications; proofs and finalizes court documents; serves as a clerk in Board proceedings; and responds to public inquiries.

**SAU LAN LEUNG**, Legal Clerk; SR 14. Ms, Leung provides legal clerical assistance for all documents filed with the Board. This position also assists with the Board's electronic master file of all cases and orders; updating the Board's website; creating fill-able forms; the Board's electronic filing service and other duties as assigned by the Board. (*Retired on December 31, 2013*)

## VI. DATA ON THE PUBLIC SECTOR BARGAINING UNITS

The collective bargaining law for public employees divides all State and county employees covered by Chapter 89, HRS, into 13 units based upon occupational and compensation plan groupings. These bargaining units, described in HRS § 89-6(a), are as follows:

| Unit | Statutory Description                               |
|------|---|
| 1    | Non-supervisory employees in blue collar positions; |
| 2    | Supervisory employees in blue collar positions;     |

| 3  | Non-supervisory employees in white collar positions;  |
|----|---|
| 4  | Supervisory employees in white collar positions;  |
| 5  | Teachers and other personnel of the department of education under the same<br>pay schedule, including part-time employees working less than twenty<br>hours a week who are equal to one-half of a full-time equivalent; |
| 6  | Educational officers and other personnel of the department of education under the same pay schedule;  |
| 7  | Faculty of the University of Hawaii and the community college system;   |
| 8  | Personnel of the University of Hawaii and the community college system, other than faculty;   |
| 9  | Registered professional nurses;   |
| 10 | Institutional, health and correctional workers;   |
| 11 | Firefighters;   |
| 12 | Police officers; and  |
| 13 | Professional and scientific employees, who cannot be included in any of the other bargaining units.   |

It is customary to refer to the bargaining units by the numbers used in HRS § 89-6(a). For example, the unit consisting of firefighters is referred to as Unit 11.

#### **Exclusive Representatives**

All 13 public employee collective bargaining units have selected employee organizations to serve as their exclusive representatives. Throughout the remainder of this report, the following abbreviations will be used to refer to the respective exclusive representatives (or unions):

| HFFA  | Hawaii Fire Fighters Association, Local 1463, IAFF, AFL-CIO         |
|-------|---|
| HGEA  | Hawaii Government Employees Association, AFSCME, Local 152, AFL-CIO |
| HSTA  | Hawaii State Teachers Association                                   |
| SHOPO | State of Hawaii Organization of Police Officers                     |
| UHPA  | University of Hawaii Professional Assembly (NEA-AAUP)               |
| UPW   | United Public Workers, AFSCME, Local 646, AFL-CIO                   |

#### Number of Employees in Units

The following table indicates, for each bargaining unit, the number of employees who are included in the unit, the union and the date that the union was initially selected and certified as the exclusive representative.

| Unit | No. of<br>Employees | Exclusive<br>Representative | Date of Initial<br>Certification |
|------|---------------------|-----------------------------|----------------------------------|
| 1    | 8,364               | UPW                         | 10/20/1971                       |
| 2    | 783                 | HGEA                        | 10/20/1971                       |
| 3    | 13,457              | HGEA                        | 4/3/1972                         |
| 4    | 845                 | HGEA                        | 5/3/1972                         |
| 5    | 12,616              | HSTA                        | 05/21//71                        |
| 6    | 790                 | HGEA                        | 6/10/1971                        |
| 7    | 3,955               | UHPA                        | 11/1/1974                        |
| 8    | 2,280               | HGEA                        | 1/26/1973                        |
| 9    | 1,540               | HGEA                        | 7/10/1979                        |
| 10   | 2,953               | UPW                         | 2/11/1972                        |
| 11   | 1,929               | HFFA                        | 2/4/1972                         |
| 12   | 2,785               | SHOPO                       | 7/14/1972                        |
| 13   | 7,733               | HGEA                        | 5/3/1972                         |

The statistics are based on HLRB Informational Bulletin No. 51, dated April 30, 2013 There are approximately 60,030 government employees in bargaining units as shown in the chart above.

#### VII. CASES BEFORE THE BOARD DURING FY 2014

#### **Case Statistics**

The following represents the cases filed and pending before the Board in FY 2014:

| Type of Case                            | Pending<br>07/01/13 | Filed | Appealed | Closed | Pending<br>06/30/14 |
|---|---------------------|-------|----------|--------|---------------------|
| Public Sector                           |                     |       |          |        |                     |
| Prohibited Practice against<br>Employer | 134                 | 21    | 3        | 37     | 118                 |
| Prohibited Practice against Union       | 15                  | 7     |          | 8      | 14                  |
| Prohibited Practice against<br>Employee | 1                   | 0     |          | 1      | 0                   |
| Impasse                                 | 7                   | 0     |          | 7      | 0                   |
| Declaratory Ruling                      | 3                   | 4     | 3        | 2      | 4                   |
| Unit Clarification                      | 6                   | 3     |          | 7      | 2                   |
| Private Sector                          | 0                   | Ō     |          | 0      | 0                   |
| HIOSH Appeals                           |                     |       |          |        |                     |
| Citation                                | 19                  | 36    |          | 32     | 23                  |
| Discrimination                          | 3                   | 3     |          | 0      | 6                   |
| Total                                   | 188                 | 74    |          | 94     | 168                 |

\*Note: This year the Board has changed its method for counting cases with multiple bargaining units where each bargaining unit will be counted as a separate case.

In FY 2013, a total of 35 public sector employment cases were filed with the Board. These cases vary in complexity; some involving issues affecting one employee and others affecting the rights of a large number of employees. Also, 39 HIOSH cases were filed with the Board. The Board issued 62 Orders related to collective bargaining cases and 32 HIOSH orders. See Appendices for case dispositions.

## VIII. PUBLICATIONS

<u>HLRB Decisions:</u> The Board, working with the Industrial Relations Center (IRC), University of Hawaii, publishes the Board's decisions in loose-leaf binders for sale on a subscription basis. Thus far, Volume 1, containing Decision Nos. 1 through 85 covering the years 1971-77; Volume 2, containing Decision Nos. 86 through 155 covering the years 1978-81; Volume 3, containing Decision Nos. 156 through 209 covering the years 1982-85; Volume 4, containing Decision Nos. 210 through 311 covering the years 198690; Volume 5, containing Decision Nos. 312 through 393 covering the years 1991-97; Volume 6 containing Decision Nos. 394 to 456 covering the years 1998-2005; and Volume 7 containing Decision Nos. 457 — 475 covering the years 2006-2008 have been issued. Copies of recent decisions are available on the Board's website at <u>wwvv.hawaii.gov/labor</u>.

The Board also distributes copies of its decisions as they are rendered to the respective parties in interest, and the State agencies as required by HRS § 93-3.

<u>Website:</u> Rules, forms, bulletins, recent decisions of the Board, and the Board's List of Arbitrators with their resumes are posted on the DLIR website at <u>www.hawaii.gov/labor.</u>

## IX. CONCLUSION

In reviewing the case activity for labor relations cases for this fiscal year, the Board believes it has continued to meet its goals of administering the provisions of HRS Chapters 89 and 377. The Board has worked diligently to encourage the settlement of disputes brought before it. As an example, the HIOSH Director has begun to attend initial/settlement conferences for most HIOSH appeals. The Board continues to promote harmonious and cooperative relations between government and its employees and to encourage public employers and exclusive representatives to attend prehearing/settlement conferences. In some cases, the parties were able to resolve their disputes and the Board credits the parties for their efforts and reasonableness in reaching a settlement.

The Board continues to be concerned about its backlog of case. There were 90 cases pending at the close of FY 2010; 96 cases pending at the close of FY 2011, 128 cases pending at the close of FY 2012, 120 cases pending at the close of FY 2013 and 168 cases pending at the close of FY2014. In addition, due to reduced operating expenses, the Board does not engage the services of a court reporter to transcribe its proceedings making it more difficult to draft decisions from the audio recordings. The continual backlog of cases highlights the Board's pressing need to restore professional staff positions' to assist in researching the complex issues presented, promulgating administrative rules and drafting decisions and orders.

In 2011, HLRB developed a five-year plan to fulfill its mission more efficiently and effectively and to address its growing case backlog with the current staff. Since 2011, HLRB has created a master electronic file of all active cases. Now, the HLRB is able to concentrate on scanning all closed cases prior to 2011 that are statutorily required to be archived for 20 years.

In 2012, the HLRB equipped its hearing room with four laptops enabling the HLRB Members and a staff member access to its master electronic file during hearings. This has enabled HLRB to reduce the amount of copies for each document filed to an original and one copy.

In 2012, the HLRB also began to research the creation of an e-Filing system. Three options were considered. The HLRB contacted Hawaii Information Consortium and researched hiring a private company to develop a program, neither of which produced any results. The HLRB found that to design a software program could cost \$600,000 or more including annual maintenance costs. The third option considered is a purchase of services from a company offering e-Filing services on the company's website.

Typically, the service includes, uploading, storage, and maintenance. The annual cost is around \$30,000 for the number of filings that the HLRB experiences per year for collective bargaining and HIOSH appeals. The cost for this option is more fiscally feasible for HLRB versus developing its own software program, as it does not require an upfront large capital expense and it includes maintenance and updates. HLRB is in the process of developing and offering a limited trial project for collective bargaining cases on a voluntary basis.

In 2013, the Legislature authorized the HLRB to mail its hearing notices by first class mail effective in June, 2013. The HLRB now sends its hearing notices by priority mail, a form of first class mail that provides proof of receipt. Purchase of postage and labeling is now done through the United State Postal Service on-line services, and the mail can now be deposited in any mail box. This enables the HLRB to realize savings in postage cost and staff time.

In 2013, at the HRLB's request, the Legislature restored a hearings officer position as a temporary position and appropriated funding beginning January 1, 2014. This position has directly assisted the Board with drafting decisions and orders and Board rules, i.e. "card check".

In the future, the Board will continue to address the backlog of cases by pursuing the restoration of the remaining lost legal positions and continuing the development of a comprehensive e- Filing system to include both collective bargaining and HIOSH cases. The current voluntary e-Filing system has allowed parties to file all documents, to serve documents on the opposing party, and ready access to documents 24 hours- 7 days a week. Savings on postage and paper costs will benefit the State, HLRB and the parties.

Respectfully submitted,

JAMES BI MICHOLSON, CHAIR

Sesnita A. D. Moymo SESNITA A.D. MOEPONO, Board Member

Pour B. Le

ROCK B. LEY, Board Member

PPC (Prohibited Practice Complaint)

<sup>IV</sup> DR (Declaratory Ruling)

RA (Clarification or Amendment of Appropriate Bargaining Unit)

<sup>v</sup>Three staff positions were lost in 1997, including one hearings officer (attorney), one attorney and one paralegal. The loss of these three positions has impacted the NLRB severely and has contributed to the HLRB's growing case backlog.

Prior to 2002, the Labor and Industrial Appeals Board of the DLIR (LIRAB) heard contests filed under HRS § 396-11. The Board did not receive additional funding or positions when the HIOSH appeals were transferred to the Board.

The Board has one permanent position (Secretary IV) and six temporary positions. The six temporary positions include three Board member positions, the executive director, the legal clerk (civil service) and a hearings officer (budgeted from 1/1/14). Six positions have been continuously filled for at least the past 10 years.

| Case No.  | DATE FILED | CASE NAME   | Issues  |
|---|------------|---|---|
|   |            |   |   |
| CE-01-537a<br><del>CE-02-537b</del><br><del>-CE-03-537c</del><br><del>-CE-04-537d</del><br><del>-CE-06-537c</del> | 7/3/2003   | UPW and HGEA v. Kathleen<br>Watanabe and Linda Lingle   | Charter School Employees  |
| CE-01-539   | 8/18/2003  | UPW v. Patricia Hamamoto and<br>Connections   | Charter School Employees;<br>termination  |
| CE-01-550   | 12/23/2003 | UPW v. Robert Watada, Patricia<br>Hamamoto, Board of Education,<br>and Local School Board of<br>Waialae Elementary School | Charter School Employees;<br>Cafeteria  |
| CE-01-552a<br>CE-10-552b  | 2/11/2004  | UPW v. Kathleen Watanabe and<br>Linda Lingle  | Discontinuation of prior practices  |
| CE-01-558   | 1/1/2004   | UPW v. Watada, et al.   | Charter School; Cafeteria Svs.;<br>Remand - 2010                                  |
| CE-01-565a<br><del>CE-02-565b</del><br>- <del>CE-03-565c</del><br>- <del>CE-04-565d</del>                         | 6/22/2004  | UPW and HGEA v. Patricia<br>Hamamoto and BOE  | Charter School Employees  |
| CE-01-594   | 12/1/2010  | UPW v. Watada, et al.   | Charter School Employees; Original<br>File date - 12/31/2007; Remand<br>12/1/2010 |
| CE-01-605a<br>CE-10-605b  | 9/2/2005   | UPW v. Marie Laderta and<br>Valerie Pacheco   | Settlement agreements in workers'<br>compensation cases                           |
| CE-01-609   | 11/25/2005 | UPW v. Hawaii Health Systems<br>Corporation - Hilo Medical<br>Center  | Failure to provide information  |

| Case No.                 | DATE FILED | CASE NAME  | Issues   |
|--------------------------|------------|--|--|
| CE-01-627                | 11/17/2010 | UPW v. Nakamatsu, Hanneman.  | Failure to provide information and negotiate.  |
| CE-01-647                | 10/9/2007  | UPW v. C&C, Nakamatsu, and<br>Clifford Lum                               | Failure to provide information;<br>private contracts   |
| CE-01-650                | 11/23/2007 | UPW v. Mufi Hannemann and<br>Kenneth Nakamatsu                           | Failure to provide information   |
| CE-01-706                | 5/4/2009   | UPW v. Mufi Hannemann  | Refusal to sign settlement<br>agreement during grievance   |
| CE-01-710a<br>CE-10-710b | 6/24/2009  | UPW v Lingle, Laderta and<br>Hannemann                                   | Breach of ground rules and layoffs   |
| CE-01-712a<br>CE-10-712b | 9/8/2009   | UPW v. Linda Lingle  | Board granted Complainant's Mtn<br>to Continue hearing on<br>Complainant's Motion to Enforce<br>Board Order (requiring Employer<br>to provide information) |
| CE-01-715a<br>CE-10-715b | 7/7/2009   | UPW v. Linda Lingle and Marie<br>Laderta                                 | Refusal to bargain   |
| CE-01-720a<br>CE-10-720b | 7/31/2009  | UPW v. Marie Laderta   | Refusal to bargain; layoffs  |
| CE-01-724                | 9/13/2009  | UPW v. Jeoffrey S. Cudiamat,<br>Kenneth Nakamatsu, and Mufi<br>Hannemann | Expiration of supplemental agreements  |
| CE-01-747                | 1/22/2010  | UPW v. Aaron Ueno and Dr.<br>Chiyome Fukino                              | Information request  |
| CE-01-762a<br>CE-10-762b | 10/21/2010 | UPW v. Diane M. Niles-Hansen,<br>et al.                                  | Remand by Circuit Court<br>(3/30/2011); determination of<br>dismissal with or without prejudice  |

| Case No.   | DATE FILED | CASE NAME   | Issues   |
|--|------------|---|--|
| CE-01-769  | 9/20/2010  | UPW v. Christine Sorenson,<br>Douglas Doi, Kathryn<br>Matayoshi, BOR, BOE , Local<br>School Board   | Privatizing work at lab school                                     |
| CE-01-772  | 11/8/2010  | UPW v. Keith Viera, Glen Kila,<br>Kathryn Matayoshi, Garret<br>Toguchi, BOE, Linda Lingle,<br>Marie Laderta, Kirt Ingram  | Charter School; Kamaile Academy;<br>privatization of food services |
| CE-01-790  | 12/7/2011  | UPW v. C&C  | Settlement agreement   |
| CE-01-791  | 12/27/2011 | UPW v. Corp Bd of the HHSC  | Denial of information  |
| CE-01-808<br>CU-01-317   | 8/13/2012  | Michael Hikalea v. C&C, Dept of<br>Environmental<br>Services, David Shiraishi, Peter<br>Carlisle,<br>Howard Kahue, Brandon<br>McConnell, Laurie<br>Santiago, and Dayton Nakanelua | Breach of contract; Breach of duty<br>of fair representation       |
| CE-01-843  | 6/6/2014   | UPW v. Scott Enright, Chair,<br>Department of Agriculture,<br>State of Hawaii, et. al.  | Selection of arbitrators in a grievance                            |
| CE-02-546a<br>CE-03-546b<br>CE-04-546c<br>CE-09-546d<br>CE-13-546e | 11/7/2003  | HGEA v. Kathleen Watanabe<br>and Linda Lingle   | failure to negotiate policies                                      |

| Case No.   | DATE FILED | CASE NAME  | Issues   |
|--|------------|--|--|
|  |            |  |  |
| CE-02-553a<br>CE-03-553b<br>CE-04-553c<br>CE-09-553d<br>CE-13-553e | 2/17/2004  | HGEA v. Linda Lingle and<br>Kathleen Watanabe                  | Discontinuation of prior practices   |
| CE-02-632a<br>CE-03-632b<br>CE-04-632c<br>CE-09-632d<br>CE-13-632e | 11/17/2006 | HGEA v. Linda Lingle, Chiyome<br>Fukino, Mark A. Fridovich     | Failure to negotiate/consult smoke<br>free policy at Hawaii State Hospital |
| CE-02-639a<br>CE-03-639b<br>CE-04-639c<br>CE-09-639d<br>CE-13-639e | 6/7/2007   | HGEA v. Linda Lingle, Chiyome<br>Fukino, and Mark Fridovich    | Failure to negotiate/consult smoke<br>free policy at Hawaii State Hospital |
| CE-02-728a<br>CE-03-728b<br>CE-04-728c<br>CE-13-728d               | 9/28/2009  | HGEA v. Linda Lingle, Brennon<br>Morioka, and Guy Kunitake     | HGEA steward use of email  |
| CE-02-730a<br>CE-03-730b<br>CE-04-730c<br>CE-09-730d<br>CE-13-730e | 10/1/2009  | HGEA v. Vincent S. Lee   | Use of email   |
| CE-02-736a<br>CE-03-736b<br>CE-04-736c<br>CE-13-736d               | 11/9/2009  | HGEA v. Linda Lingle and Darwin<br>Ching                       | Failure to consult over state to federally funded positions                |
| CE-02-835<br>CE-03-835<br>CE-04-835<br>CE-09-835<br>CE-13-835      | 12/30/2013 | HGEA v. Lynn Fallin, Deputy<br>Director, Health Admin, et. al. | Failure to provide a safe<br>workplace                                     |

| Case No.                 | DATE FILED | CASE NAME  | lssues  |
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| CE-03-635a<br>CE-04-635b | 2/13/2007  | HGEA v. Linda Lingle and Iwalani<br>D. White   | Failure to negotiate/consult relocation of Sheriff's desks  |
| CE-03-703a<br>CE-04-703b | 4/1/2009   | HGEA v. Linda Lingle and Darwin<br>Ching   | Failure to consult over increase in employee work hours   |
| CE-03-749a<br>CE-04-749b | 2/2/2010   | HGEA v. Linda Lingle, Clayton<br>Frank and Chiyome Fukino                                      | Failure to consult over effects due to contracting security positions.  |
| CE-03-766                | 8/16/2010  | HGEA v. Linda Lingle, Laura H.<br>Thielen, Mark S. Young, and<br>Russell Y. Tsuji (Matt Tinay) | Retaliation against steward   |
| CE-03-787                | 11/4/2011  | HGEA v. Neil Abercrombie,<br>William J. Aila and<br>Randy L. Awo                               | Retaliatory and disciplinary violations   |
| CE-03-806                | 6/8/2012   | HGEA v. Neil Abercrombie,<br>William J. Aila, Randy<br>L. Awo, and Clarence M.<br>Yamamoto     | Interference  |
| CE-03-812<br>CU-03-319   | 11/19/2012 | Chad Medeiros v. Westerman, Fire<br>Chief, Fire Dept. County of Kauai,<br>et. al.              | Harrassment & Breach of Duty of<br>Fair Representation  |
| CE-03-821                | 4/26/2013  | Kulamanu J. Kikila v. DOE  | Failure of Employer to negotiate change of distribution of Pay check  |
| CE-03-824                | 6/7/2013   | HGEA v. BOE, DOE   | Failure of Employer to negotiate change of distribution of Pay check  |
| CE-05-637                | 5/2/2007   | HSTA v. DOE  | Failure to consult over memo to teachers regarding dispensing medication to students.                                     |
| CE-05-661                | 3/12/2008  | HSTA v. Patricia Hamamoto,<br>BOE, Lingle and Laderta  | Failure to bargain in good faith;<br>failure to pay step movement in<br>2nd year of 2007-2009 CBA. See<br>also CU-05-265. |

| Case No.  | DATE FILED | CASE NAME  | Issues   |
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| CE-05-667 | 5/27/2008  | HSTA v. BOE, Patricia<br>Hamamoto, Susan H. Kitsu                                    | Harassment Policy  |
| CE-05-669 | 6/4/2008   | HSTA v. BOE and Susan Kitsu  | Failure to comply with information request   |
| CE-05-677 | 7/11/2008  | HSTA v. Jennifer Kehe  | Failure to comply with information request   |
| CE-05-751 | 2/22/2010  | HSTA v. Linda Lingle and Marie<br>Laderta  | Repudiation of Furlough<br>Agreement   |
| CE-05-752 | 2/22/2010  | HSTA v. Stephen Schatz   | Failure to provide investigation notes   |
| CE-05-773 | 11/8/2010  | HSTA v. Dr. Liela Nitta, Kathryn<br>Matayoshi, BOE, Linda Lingle,<br>State of Hawaii | Elimination of part-time music<br>teacher position; Eleele   |
| CE-05-782 | 9/14/2011  | HSTA v. Kihei Charter School,<br>Local School<br>Board, and Gene Zarro               | Direct dealing   |
| CE-05-784 | 9/22/2011  | HSTA v. Kathryn Matayoshi and<br>Dana Kobshigawa                                     | Failure to negotiate wages, hours,<br>and other terms and conditions of<br>employment for online teachers;<br>Direct Dealing |
| CE-05-817 | 1/9/2013   | Janet Weiss v. Patricia<br>Champagne, et. al.  | Retaliation by Employer  |
| CE-05-820 | 4/1/2013   | HSTA v. Kathryn Matayoshi &<br>Annette Anderson                                      | Failure to negotiate wages, hours,<br>and other terms and conditions of<br>employment for online teachers;<br>Direct Dealing |

| Case No.               | DATE FILED | CASE NAME  | Issues   |
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| CE-05-842              | 6/2/2014   | Janet Weiss v. Kathryn<br>Matayoshi, et. al.   | Retaliation by Employer  |
| CE-07-674              | 6/10/2008  | UHPA v. BOR  | Failure to bargain over faculty<br>workload during summer session,<br>prior to implementation of new<br>summer session workload policy.              |
| CE-07-833              | 12/3/2013  | UHPA v. University of Hawaii,<br>SOH   | Direct dealing; Failure to<br>negotiate over performance<br>review that result in disciplinary<br>action and consult over no<br>smoking policy, etc. |
| CE-09-731              | 10/6/2009  | Valerie Stephen v. HHSC  | Retaliation by Employer  |
| CE-10-705              | 5/1/2009   | UPW v. Elizabeth A. Char and<br>Donald Gates   | Employer repudiated agreement for "third man permanent" slot.  |
| CE-10-718              | 7/15/2009  | UPW v. Marie Laderta   | Selection of employer<br>representative for arbitration<br>panel.  |
| CE-10-722              | 8/10/2009  | UPW v. Elizabeth Char, Kenneth<br>Nakamatsu, and Mufi<br>Hannemann   | Physical ability testing-EMT<br>training academy   |
| CE-10-737<br>CU-10-284 | 11/13/2009 | Jonathan Taum, Chad Ross, Carl<br>L. Kahawai, Quincy G.K.<br>Pacheco, Bradford J. Leialoha,<br>Julieann L. Salas v. State of<br>Hawaii and UPW | Breach of Duty of Fair<br>Representation - HCCC ACO's  |
| CE-10-744              | 12/30/2009 | UPW v. James H.E. Ireland, M.D.<br>and Peter Carlisle (Eliz Char)  | Failure to select arbitrator   |

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| Case No.  | DATE FILED | CASE NAME  | lssues   |
| CE-10-746 | 1/13/2010  | UPW v. James H.E. Ireland, M.D.<br>and Peter Carlisle (Eliz Char)  | Failure to select arbitrator   |
| CE-10-753 | 3/8/2010   | UPW v. Chiyome Fukino and<br>Laderta   | Failure to provide information   |
| CE-10-761 | 6/29/2010  | UPW v. Michael Fujioka and<br>Marie Laderta  | Reimbursement of worker's compensation expenses  |
| CE-11-832 | 10/28/2013 | HFFA v. Caldwell, et. al.  | Interference, restraint or<br>coercion in collective<br>bargaining activities                          |
| CE-12-696 | 11/7/2008  | SHOPO v. Mufi Hannemann,<br>Boisse Correa, and C&C   | Failure to negotiate Restriction of<br>Police Authority prior to unilateral<br>implementation of ROPA. |
| CE-12-822 | 5/7/2013   | Shelly L. Rodrigues v. Darryl<br>Perry, Chief of Police and<br>Michael Contrades, Dep. Chief<br>of Police, et. al. | Retaliation and failure to comply with Step III decision, etc.   |
| CE-12-823 | 5/22/2013  | SHOPO v. Hon. Alan Arakawa,<br>Mayor, County of Maui & Gary<br>Yabuta, Chief of Police, Maui<br>Police Dept.       | Harrassment, Retaliation, &<br>Discrimination by Employer  |
| CE-12-828 | 8/6/2013   | Shelly L. Rodrigues v. Darryl<br>Perry, Chief of Police and<br>Michael Contrades, Dep. Chief<br>of Police, et. al. | grievance process  |
| CE-12-829 | 5/7/2013   | Shelly L. Rodrigues v. Darryl<br>Perry, Chief of Police and<br>Michael Contrades, Dep. Chief<br>of Police, et. al. | Employer's failure to provide<br>information; failure to follow<br>grievance decision; breach of CBA.  |

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| Case No.               | DATE FILED | CASE NAME   | lssues  |
| CE-12-830              | 8/6/2013   | Wakumoto v. Perry et.al.  | Failure to provide information.   |
| CE-13-709              | 6/19/2009  | HGEA v. DoTax   | Privatizing work at Dept. of Tax  |
| CE-13-788              | 11/25/2011 | Henry H. Yang, M.D. v. Loretta<br>Fuddy   | Failure to consult regarding<br>transfer of duties and<br>responsibilities; changes of terms<br>and conditions of work; unilateral<br>privatization, etc. |
| CE-13-792              | 2/14/2012  | Bernard J. Wilson v.<br>Abercrombie, et. al.  | Breach of Settlement Agreement  |
| CE-13-841              | 6/2/2014   | Idao v. DCCA  | Many Ch. 89 violations as well as other HRS chapters.   |
| CU-01-282              | 10/20/2009 | Matthew M. Taamu v. UPW,<br>Dayton Nakanelua, and Eddie<br>Akau   | Breach of Duty of Fair<br>Representation  |
| CU-01-317<br>CE-01-808 | 8/13/2012  | Michael Hikalea v. C&C, Dept of<br>Environmental<br>Services, David Shiraishi, Peter<br>Carlisle,<br>Howard Kahue, Brandon<br>McConnell, Laurie<br>Santiago, and Dayton Nakanelua | Breach of Duty of Fair<br>Representation  |
| CU-03-319              | 11/19/2012 | Chad Medeiros v. Westerman, Fire<br>Chief, Fire Dept. County of Kauai,<br>et. al.   | Breach of Duty of Fair<br>Represenation   |
| CU-03-323              | 7/23/2013  | Chad Medeiros v. HGEA   | Breach of Duty of Fair<br>Representation  |

| Case No.               | DATE FILED | CASE NAME  | lssues   |
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| CU-03-328              | 6/18/2014  | Emura v. HGEA  | Violations by union of §§89-<br>13(a)(7), (8) and (b)(4),(5)   |
| CU-04-291              | 2/19/2010  | Susan Siu v. Randy Perreira and<br>HGEA  | Breach of Duty of Fair<br>Representation   |
| CU-05-265              | 6/6/2008   | Patricia Hamamoto, BOE, Linda<br>Lingle, and Marie Laderta v.<br>HSTA  | Violations of §89-9 failure to<br>bargain in good faith; violated<br>terms of CBA (2007-2009); false<br>accusations in CE-05-661. See also<br>CE-05-661. |
| CU-05-302              | 3/17/2011  | Shanye N. Valeho-Novikoff v.<br>Wilfred Okabe, Wilbert Holck,<br>Christopher Chang and HSTA  | Failure to provide information requested.  |
| CU-05-303              | 4/7/2011   | Stephanie C. Stucky v. Okabe<br>and HSTA   | Breach of Duty of Fair<br>Representation   |
| CU-05-321              | 3/22/2013  | Weiss v. Wil Okabe, Leroy<br>Simms, HSTA   | Breach of Duty of Fair<br>Representation   |
| CU-10-284<br>CE-10-737 | 11/13/2009 | Jonathan Taum, Chad Ross, Carl<br>L. Kahawai, Quincy G.K.<br>Pacheco, Bradford J. Leialoha,<br>Julieann L. Salas v. State of<br>Hawaii and UPW | Breach of Duty of Fair<br>Representation   |
| CU-12-327              | 5/21/2014  | Wakumoto v. SHOPO  | Breach of Duty of Fair<br>Representation   |
| CU-13-325              | 2/25/2014  | Lemon v. HGEA  | Discrimination, Violations of<br>HRS §§89-13(b)(1), (4)  |
| CU-13-326              | 2/25/2014  | Lemon v. HGEA  | Discrimination, Violations of<br>HRS §§89-13(b)(1), (4)  |

| Case No.               | DATE FILED | CASE NAME   | lssues   |
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| DR-01-105              | 2/24/2014  | DOE, SOH, Hickam Elementary                                 | Arbitrability; MAB Jurisdiction  |
| DR-01-89a<br>DR-10-89b | 8/18/2003  | UPW and HGEA, Maui, DHRD,<br>BOR, Hawaii County, and Keller | Unilateral implementation of<br>proposed "policies, standards and<br>procedures" and to incorporate<br>them (addl. Changes) into a<br>"comprehensive manual for<br>personnel administration" to the<br>various state depts and agencies. |
| DR-05-106              | 4/2/2014   | HSTA and State of Hawaii                                    | Cancellation Fees  |
| DR-13-102              | 11/25/2011 | Henry H. Yang, M.D.   | Failure to consult regarding<br>transfer of duties and<br>responsibilities; changes of terms<br>and conditions of work; unilateral<br>privatization, etc.  |
| RA-05-238              | 10/1/2010  | HSTA v. Kathryn Matayoshi                                   | Clarification of BU5 re: On-line<br>(including E-School) employees<br>hired for after regular DOE school<br>hours.   |
| RA-07-232              | 10/8/2008  | UHPA  | Clarification of BU7 work classified as BU8, APT.  |

| Case No.   | CASE NAME   | Issues   | Disposition  |
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| CE-01-378a<br>CE-03-378b<br>CE-10-378c<br>CE-13-378d | UPW and HGEA v.<br>Cayetano, Miike, and<br>Driskill (Hale Hauoli) | Employer failed to negotiate or consult<br>over collective bargaining rights of<br>employees at Hale Hauoli Centers.   | 4/29/14 Order No.<br>2976 Granting Motion<br>to Close Case without<br>prejudice and to cease<br>further Board<br>proceedings |
| CE-01-545a<br>CE-10-545b                             | UPW v. Kathleen<br>Watanabe and Linda<br>Lingle                   | Employer's failure to negotiate over<br>hiring rates/recruitment, appointment<br>above the civil service min. rate,<br>recruitment incentives, shortage cateory,<br>appointments, internal vacancy<br>announcement policy, new probation<br>periods, medical/physical/mental health<br>requirements, certification of eligibles,<br>effective date of classification actions,<br>adminstrative review of initial pricing<br>action, etc. | 6/24/14 Order 2997<br>Granting C's Mtn to<br>W/draw  |
| CE-01-576  | UPW v. Larry Leopardi,<br>Frank Doyle, and Jeremy<br>Harris       | Employer's failure to negotiate over<br>changes in wages, hours and other terms<br>and conditions of employment from the<br>expanded bulky items collection<br>operations and to comply with a Request<br>for Information.   | 5/22/14 Order 2991<br>Granting C's Mtn to<br>W/draw  |
| CE-01-581  | UPW v. Frank Doyle and<br>Harris                                  | Employer's failure to comply with a<br>Request for Information for bargaining<br>over front end loader services to public<br>schools.  | 6/9/14 Order 2995<br>Granting C's Mtn to<br>W/draw   |
| CE-01-775a<br>CE-10-775b                             | UPW v. Noel Ono   | Employer failed to comply with a Request for Information.  | 5/19/14 - Order No.<br>2981 Dismissing PPC<br>w/o prejudice  |

| Case No.                 | CASE NAME   | Issues   | Disposition   |
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| CE-01-776a<br>CE-10-776b | UPW v. BOE, et al.<br>(charter schools)                   | Charter Schools' failure to deduct and<br>transmit union dues for BU 1 and 10<br>employees and failure to provide full and<br>complete responses to requested<br>information.                      | 5/22/14: Order No.<br>2991 Granting Mtn to<br>W/draw w/o P                |
| CE-01-778                | UPW v. Tim Steinberger                                    | Employer's failure to bargain in good<br>faith; provide information for a<br>grievance; comply with a final and<br>binding award; with provisions on a<br>substance abuse professional evaluation. | 5/21/14 - Order No.<br>2990 Granting MTD<br>w/o Prejudice                 |
| CE-01-789                | UPW v. C&C  | Retaliation against Union Steward;<br>consolidated with CE-01-803, CE-01-807   | 2/26/14 - Order No.<br>2963 - Stip to<br>Withdraw                         |
| CE-01-803                | UPW v. CCH, DES   | Retaliation against Union Steward;<br>consolidated with CE-01-803, CE-01-807   | 2/26/14 -Order No.<br>2963 - Stip to<br>Withdraw                          |
| CE-01-807                | UPW v. Peter Carlisle,<br>DES and Rialone<br>Thornton     | Direct Dealing with refuse crews   | 2/26/14 -Order No.<br>2963 - Stip to<br>Withdraw                          |
| CE-01-834                | UPW and Carolee Kubo,<br>Dept. of Human<br>Resources, CCH | Employer violated arbitrator selection process for a grievance.  | 1/6/14 - Order No.<br>2957 - Granting C's<br>Mtn to W/draw                |
| CE-01-836                | UPW and Carolee Kubo,<br>Dept. of Human<br>Resources, CCH | Employer failed to comply with Request for Information.  | 4/21/14 - Order No.<br>2972 Granting Mtn. to<br>Withdraw w/o<br>Prejudice |

| Case No.                               | CASE NAME  | Issues   | Disposition  |
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| CE-01-839                              | Blaze A. Kapalu v.<br>Garrick Iwamuro, City &<br>County of Honolulu            | Employer's failure to follow drug testing provisions under the CBA.  | 6/30/2014 - Order No.<br>3001 Granting Mtn to<br>W/draw  |
| CE-02-827a<br>CE-03-827b               | HGEA and Arakawa, et.<br>al.   | Employer implemented a unilateral<br>change to BU 2 and 3 standby schedule<br>without negotiation, consent or<br>consultation. | 11/29/13 - Order No.<br>2952 - Granting C's<br>Mtn to Withdraw   |
| CE-03-357a<br>CE-10-357b<br>CE-13-357c | UPW and HGEA v.<br>Cayetano, Miike and Yee<br>(Kauai - Hale Hauoli)            | Employer failed to negotiate or consult<br>over contracting out work peformed by<br>BU 3, 10, 13.                              | 4/29/14 Order No.<br>2975 Granting Motion<br>to Close Case Without<br>Prejudice and to Cease<br>Further Board<br>Proceedings |
| CE-03-809                              | HGEA v. Neil Abercrombie,<br>William J. Aila, Randy L.<br>Awo, J. Holly Leong  | Employer failed to negotiate in good faith<br>over Over-Time during a tsunami watch.   | 7/12/13 Order No. 2931<br>Granting Withdrawal  |
| CE-03-837                              | HGEA v. Abercrombie,<br>BOE, DOE   | Employer violated the arbitrator selection process for a grievance.  | 1/28/14 - Order No.<br>2959 - Granting C's<br>Mtn to W/draw  |
| CE-03-840                              | HGEA v. John C.<br>Holzman, Chair,<br>University of Hawaii<br>Board of Regents | Employer's failure to select an arbitrator in a grievance process.   | 6/24/14 - Order No.<br>2999 Granting Mtn to<br>W/draw  |
| CE-06-813                              | HGEA v. HI Tech Academy  | failure to provide information for a grievance case.   | 3/11/14 - Order No. 2966<br>- Granting Mtn to<br>W/draw  |

| Case No.  | CASE NAME  | Issues  | Disposition   |
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| CE-06-831 | HGEA v. Abercrombie, et<br>al.                                     | Employer improperly submitted its last<br>and final position in its Statement of Final<br>Position in an Interest Arbitration.  | 1/17/14 - Order No.<br>2956 - Granting R's<br>MTD that the<br>Arbitration Panel has<br>wide latitude to<br>fashion a final and<br>binding decision.     |
| CE-07-804 | UHPA v. BOR and<br>Abercrombie                                     | Employer failed to comply with the CBA<br>clause that provides the Employer's<br>percentage rate and monthly<br>contribution shall not be less than the<br>any other bargaining unit's terms after<br>7/1/2009. | 8/22/13 Order No.<br>2939 Granting<br>Respondent's MSJ for<br>failure to exhaust<br>contractural<br>grievance/arbitration<br>remedies under the<br>CBA. |
| CE-07-826 | UHPA v. BOR, Hon. Neil<br>Abercrombie.                             | Employer violated CBA Article XXII - EUTF<br>Employer's percentage rate and monthly<br>contribution - not less than the highest<br>rate, etc. for any Employer-Beneficiary in                                   | 10/22/13 - Order No.<br>2947 - Granting<br>Motion to Withdraw.  |
| CE-09-825 | HGEA v. Abercrombie, et<br>al.                                     | Employer failed to perform arbitration<br>award on 7/1/13 because bill did not<br>become law.   | 1/28/14 - Order No.<br>2958 - Granting C's<br>Mtn to W/draw   |
| CE-10-719 | UPW v. Chiyome Fukino,<br>Marie Laderta, and Linda<br>Lingle       | Employer violated Sections 1 & 15 of of BU 10 CBA.  | 5/13/14 - Order No.<br>2977 Granting Mtn to<br>W/draw w/o prejudice   |
| CE-10-721 | UPW v. Elizabeth Char,<br>Kenneth Nakamatsu,<br>and Mufi Hannemann | Employer failed to comply with Request<br>for Information; unilaterally implemented<br>the EMT Training Academy without good<br>faith bargaining over wages, hours and<br>terms of conditions of employment.    | 5/13/14 - Order No.<br>2978 Granting C's Mtn<br>to W/draw w/o<br>prejudice  |

| Case No.                 | CASE NAME  | Issues   | Disposition  |
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| CE-10-816                | UPW v. CCH, Honolulu<br>EMS.   | Employer refused to arbitrate grievance<br>as per CBA; Mtn to Compel Arbitration in<br>1st Circuit; filed Prohibited Practice<br>Complaint to preserve rights. | 10/22/13 - Order No.<br>2947 - Granting<br>Motion to Dismiss<br>w/prejudice. |
| CE-11-838                | HFFA v. Kirk Caldwell et<br>al.  | Employer's failure to consult over a survey sent to all BU 11 employees.   | 6/24/14 - Order No.<br>2998 Granting Mtn to<br>W/draw                        |
| CEE-10-03<br>(CU-10-304) | UPW v. Andrew Stinnet,<br>Hawaii Association of<br>Corrections and<br>Affiliates, and Friends of<br>Hawaii Corrections | Employee organization.   | 5/30/14 - Order No.<br>2992 - Granting Mtn to<br>W/draw w/o Prejudice        |
| CU-01-271a<br>CU-10-271b | Barbara Krieg (Marie<br>Laderta) v. UPW  | Union's refusal to recognize a Board<br>Order and violation of Chapter 89, HRS.  | 5/20/14 - Order No.<br>2989 - Granting R's<br>Mtn to Dismiss                 |
| CU-01-310                | Volcano School of Arts<br>and Sciences: A Hawaii<br>New Century Public and<br>UPW                                      | Union's failure to recognize the Local<br>School Board as the Employer; see also<br>CU-01-311  | 5/20/14 - Order No.<br>2988 Granting MTD<br>Complaint                        |
| CU-01-311                | Ke Kula O Samuel M.<br>Kamaka Lab v. UPW and<br>Dayton Nakanelua   | Union's failure to recognize the LSB as the Employer; see also CU-01-310   | 6/30/2014 - Order<br>3002 Granting C's MTD                                   |
| CU-03-324                | Dept. of Land and<br>Natural Resources and<br>HGEA, Brandon Lee  | Union wilfully violated and/or repudiated<br>various clauses of a Settlement<br>Agreement and Order.   | 4/3/14 - Order 2970 -<br>Order Granting C's<br>Withdrawal                    |
| CU-05-305                | Educational Laboratory<br>v. HSTA  | Failure to bargain in good faith; altering<br>Exhibits in a SA   | 3/19/14 - Decision No.<br>479 - On Appeal                                    |

| Case No.                 | CASE NAME  | Issues  | Disposition  |
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| CU-10-304<br>(CEE-10-03) | UPW v. Andrew Stinnet,<br>Hawaii Association of<br>Corrections and<br>Affiliates, and Friends of<br>Hawaii Corrections | Employee organization.                                      | 5/30/14 - Order No.<br>2992 - Granting Mtn to<br>W/draw w/o Prejudice  |
| CU-10-322                | DPS v. UPW and<br>Nakanelua  | Union violated arbitrator selection process in a grievance. | 1/6/14 - Order No.<br>2954 - Granting C's<br>Mtn to W/draw   |
| DR-00-103                | MAB v. Deborah Taylor -<br>remand from Circuit<br>Court  | Jurisdiction of HLRB or MAB                                 | 6/2/14 - Order No.<br>2993 - Declaratory<br>Ruling - found<br>jurisdiction with MAB -<br>On Appeal to Circuit<br>Court |
| DR-00-104                | MAB v. Jacqueline<br>Mulleitner - remand<br>from Circuit Court   | Jurisdiction of HLRB or MAB                                 | 6/2/14 - Order No.<br>2993 - Declaratory<br>Ruling - found<br>jurisdiction with MAB -<br>On Appeal to Circuit<br>Court |
| I-05-109                 | HSTA v. Linda Lingle, et.<br>al.   | Statutory Impasse   | 4/24/14 - Order No.<br>2973 - Dismissing Case  |
| I-06-146                 | HGEA v. Neil<br>Abercrombie  | Statutory Impasse   | 5/20/14 - Order No.<br>2984 - Dismissing<br>Impasse Case   |
| 1-09-150                 | HGEA v. Neil<br>Abercrombie  | Statutory Impasse   | 5/20/14 - Order No.<br>2987 Dismissing<br>Impasse Case   |

| Case No.                               | CASE NAME                       | Issues  | Disposition   |
|--|---------------------------------|---|---|
| I-10-149                               | UPW v. Neil<br>Abercrombie      | Statutory Impasse   | 5/20/14 - Order No.<br>2986 - Dismissing<br>Impasse Case          |
| I-11-139                               | HFFA v. Abercrombie, et<br>al.  | Statutory Impasse   | 5/20/14 - Order No.<br>2982 - Dismissing<br>Impasse Case          |
| I-12-140                               | SHOPO v. Abercrombie,<br>et al. | Statutory Impasse   | 5/20/14 - Order No.<br>2983 - Dismissing<br>Impasse Case          |
| I-13-148                               | HGEA v. Neil<br>Abercrombie     | Statutory Impasse   | 5/20/14 - Order No.<br>2985 - Dismissing<br>Impase Case           |
| RA-03-239a<br>RA-04-239b<br>RA-14-239c | HGEA                            | Unit 14 - classifying positions in new<br>bargaining unit created by 2013<br>Legislature.   | 11/7/13 - Order No.<br>2949 - Petition<br>Granted                 |
| RA-03-242                              | HGEA v. HHSC &<br>Abercrombie   | Clarification of BU 3 & 4 positions where nature of work are consistent with BU 13.   | 4/11/14 - Order No.<br>2971 - Stip - Proposed<br>FOF, COL & Order |
| RA-05-236                              | HSTA v. HGEA and DOE            | Unit Clarification: Techonology<br>Coordinators and Employees of DOE<br>performing instructional, teaching, or<br>support in on-line courses. | 7/12/13 - Order No.<br>2932 - Granting<br>Withdrawal              |
| RA-07-240                              | UHPA                            | Clarification that UH Cancer Center Dep.<br>Dir. Should be excluded from BU 7 based<br>on managerial job duties.                              | 2/18/14 - Order No.<br>2961 - Order Granting<br>P's Mtn to W/draw |

| Case No.  | CASE NAME                                     | Issues   | Disposition   |
|-----------|---|--|---|
| RA-07-241 | University of Hawaii<br>Professional Assembly | Clarification of UH Burns School of<br>Medicine professor (V. Hinshaw) to be<br>exluded from BU 7 based on managerial<br>job duties. | 3/11/14 - Order No.<br>2967 - Granting P's<br>Mtn to W/draw |

#### Hawaii Revised Statutes Chapter 396 Occupational, Safety, and Health Active Cases As of 6/30/2014

| Case No.      | Appeal of DLIR Decision by:                         | Issue                                 |
|---------------|---|---------------------------------------|
| OSH 2007 - 22 | Hilton Hotels Corporation                           | Citation - \$2,625                    |
| OSH 2009 - 10 | International Roofing & Building Construction, Inc. | Citation - \$42,000                   |
| OSH 2009 - 36 | Coastal Construction Co., Inc.                      | Citation - \$10,000                   |
| OSH 2011 - 10 | James P. Stone v. Hawaii Air Ambulance and DLIR     | Discrimination                        |
| OSH 2011 - 16 | Mariano Mendoza v. Royal Lahaina and DLIR           | Discrimination                        |
| OSH 2011 - 19 | 1st Green Solutions, LLC                            | Citation - \$4,500                    |
| OSH 2011 - 21 | Donaldson Enterprises, Inc.                         | Citation - \$415,000                  |
| OSH 2012 - 07 | Brian Santore v. Grand Wailea and DLIR              | Discrimination                        |
| OSH 2012 - 10 | Hawaii Nui Brewing LLC                              | Citation - \$3,300                    |
| OSH 2012 - 15 | R.D. Olson Construction, Inc.                       | Citation - \$2,310                    |
| OSH 2012 - 20 | ThyssenKrupp Elevator Corp.                         | Citation - \$962.50                   |
| OSH 2013 - 02 | Tory's Roofing & Waterproofing, Inc.                | Citation - Fall protection - \$1,375. |
| OSH 2013 - 08 | IES Residential Inc.                                | Citation - \$825                      |
| OSH 2013 - 17 | The Oahu Club Roddy, Stephen                        | Discrimination                        |

#### Hawaii Revised Statutes Chapter 396 Occupational, Safety, and Health Active Cases As of 6/30/2014

| Case No.      | Appeal of DLIR Decision by:                  | Issue                 |
|---------------|--|-----------------------|
| OSH 2013 - 18 | DLIR v. Certified Construction, Inc.         | Citation - \$13,200   |
| OSH 2013 - 25 | C&S Wholesale Grocers Inc.                   | Citation - \$30,800   |
| OSH 2013 - 27 | South Pacific Steel Corp                     | Citation - \$2,502.50 |
| OSH 2013 - 28 | Dorvin D Leis Co, Inc.                       | Citation - \$1,375    |
| OSH 2013 - 31 | Nuanchut Chaigit v. USS Missouri<br>Memorial | Discrimination        |
| OSH 2013 - 32 | Certified Construction Inc.                  | Citation - \$4,400    |
| OSH 2014 - 01 | Hale Mahaolu                                 | Citation - \$155,375  |
| OSH 2014 - 06 | Maryl Group Construction, Inc                | Citation - \$3,575    |
| OSH 2014 - 08 | Aloun Farms Inc                              | Citation - \$8,800    |
| OSH 2014 - 09 | Color Dynamics Inc                           | Citation - \$63,250   |
| OSH 2014 - 10 | Clint M. Loder v. AOAO 250 Ohua, et al       | Discrimination        |
| OSH 2014 - 11 | Hawaiian Style Raingutters Inc               | Citation - \$17,325   |
| OSH 2014 - 13 | Protech Roofing LLC                          | Citation - \$7,700    |
| OSH 2014 - 14 | dck Pacific Construction                     | Citation - \$1,925    |
| OSH 2014 - 15 | JH Roofing Company                           | Citation - \$8,250    |

#### Hawaii Revised Statutes Chapter 396 Occupational, Safety and Health Closed Cases as of 6/30/2014

| Case No.      | Appeal of DLIR Decision by:                      | Issue                 | Closed Date |
|---------------|--|-----------------------|-------------|
| OSH 2012 - 19 | Otis Elevator Co.                                | Citation - \$962.50   | 8/6/2013    |
| OSH 2013 - 09 | Island Wide Roofing, Inc.                        | Citation - \$2,200    | 8/9/2013    |
| OSH 2013 - 06 | Petrochem Insulation Inc.                        | Citation - \$412.50   | 8/28/2013   |
| OSH 2013 - 16 | RMT, Inc   | Citation - \$79,240   | 9/11/2013   |
| OSH 2013 - 11 | Pacific Consultants & Construction               | Citation - \$825      | 9/20/2013   |
| OSH 2013 - 13 | Longevity International Enterprises<br>Corp      | Citation - \$40,235   | 9/25/2013   |
| OSH 2012 - 03 | Hi Tech Roofing, Inc.                            | Citation - \$750      | 10/1/2013   |
| OSH 2013 - 04 | Weslynn Specialty                                | Citation - \$5,500    | 10/4/2013   |
| OSH 2013 - 19 | MRC Roofing, LLC                                 | Citation - \$2,200    | 10/15/2013  |
| OSH 2013 - 12 | Hako Plumbing Inc dba Haka<br>Construction       | Citation - \$20,000   | 10/15/2013  |
| OSH 2013 - 22 | Hawaiian Dredging Construction Co.,<br>Inc       | Citation - \$5,500    | 10/17/2013  |
| OSH 2013 - 24 | Love's Bakery Inc.                               | Citation - \$6,600    | 11/14/2013  |
| OSH 2013 - 23 | Electricians Inc.                                | Citation - \$1,375    | 11/18/2013  |
| OSH 2013 - 07 | Hunt Building Co., Ltd.                          | Citation - \$825      | 11/29/2013  |
| OSH 2013 - 10 | Rent-A-Fence, Inc.                               | Citation - \$660      | 12/4/2013   |
| OSH 2013 - 03 | Certified Hawaii and successor<br>Associa Hawaii | Citation - \$1,750    | 12/30/2013  |
| OSH 2012 - 12 | Kawika's Painting, Inc.                          | Citation - \$1,925    | 1/17/2014   |
| OSH 2013 - 21 | Frank Coluccio Construction Co                   | Citation - \$1,237.50 | 1/31/2014   |
| OSH 2013 - 29 | Hawaiian Dredging Construction Co.,<br>Inc       | Citation - \$1870     | 1/31/2014   |

#### Hawaii Revised Statutes Chapter 396 Occupational, Safety and Health Closed Cases as of 6/30/2014

| Case No.      | Appeal of DLIR Decision by:         | Issue               | Closed Date |
|---------------|-------------------------------------|---------------------|-------------|
| OSH 2013 - 15 | Bering Sea Environmental, LLC       | Citation - \$1,375  | 3/13/2014   |
| OSH 2013 - 20 | Allied Builders System              | Citation - \$2,475  | 3/18/2014   |
| OSH 2014 - 03 | Penthouse Pacific Construction, Ltd | Citation - \$5,225  | 3/18/2014   |
| OSH 2014 - 04 | Maryl Pacific Group Inc             | Citation - \$1,100  | 3/25/2014   |
| OSH 2014 - 05 | FedEx Ground Package System, Inc    | Citation - Other    | 4/2/2014    |
| OSH 2013 - 14 | Tesoro Hawaii                       | Citation - \$3,575  | 4/14/2014   |
| OSH 2013 - 30 | Webb Construction LLC.              | Citation - \$16,060 | 4/16/2014   |
| OSH 2013 - 05 | Munden Design & Build               | Citation - \$1,155  | 4/17/2014   |
| OSH 2014 - 12 | Kamehameha Bakery, Inc              | Citation - \$660    | 4/21/2014   |
| OSH 2013 - 33 | Lahaina Plumbing Company, Inc.      | Citation - \$825    | 4/21/2014   |
| OSH 2014 - 02 | Hunt Building Company, Ltd          | Citation - \$2,200  | 5/29/2014   |
| OSH 2013 - 26 | Beachside Roofing LLC.              | Citation - \$11,000 | 6/17/2014   |
| OSH 2014 - 07 | Siemens Industry, Inc               | Citation - \$20,790 | 6/26/2014   |