

#### STATE OF HAWAII DEPARTMENT OF LABOR AND INDUSTRIAL RELATIONS (DLIR) POLICIES

**EFFECTIVE DATE** 

December 21, 2016

TITLE:

Discrimination/Harassment-Free Workplace Policy
Addendum

APPROVED BY:

Linda Chu Takayama, Director Department of Labor and Industrial Relations

#### PURPOSE

The Workforce Innovation and Opportunity Act (WIOA) requires DLIR to implement the nondiscrimination and equal opportunity provisions of the Act, which are contained in Section 188 of WIOA. Section 188 prohibits discrimination on the grounds of race, color, religion, sex (including pregnancy, childbirth and related conditions, sex stereotyping, transgender status and gender identity), national origin (including Limited English Proficiency), age, disability, political affiliation or belief, and for beneficiaries only, citizenship or participation in a WIOA Title I-financially assisted program or activity.

DLIR, as a recipient of WIOA funding, must provide initial and continuing notice to employees that it does not discriminate on any prohibited ground. In addition, DLIR must provide employees the WIOA nondiscrimination and equal opportunity complaint procedure as a remedy to alleged violations of the protections afforded by Section 188. Therefore, this policy informs all employees of the right to file discrimination complaints with the WIOA Equal Opportunity (EO) Officer.

If you are a DLIR employee and think that you have been subjected to discrimination on a prohibited ground, you may file your complaint within 180 days from the date of the alleged violation using this procedure. You may also file with the Hawaii Civil Rights Commission (HCRC) within 180 days, or the U. S. Equal Employment Opportunity Commission (EEOC) within 300 days of the alleged discriminatory act. A WIOA discrimination complaint does not have to be filed before the filing of a complaint with the HCRC or EEOC, and the filing of an internal DLIR or union complaint does not extend the deadlines for filing a complaint with the HCRC or EEOC.

#### II. SCOPE

This policy addendum to the DHRD Discrimination/Harassment Free Workplace Policy, adopted by DLIR, informs all employees of the right to file discrimination complaints with the WIOA Equal Opportunity (EO) Officer.

#### III. PROCEDURE

Employees may access the WIOA Equal Opportunity Complaint forms via the web at <a href="http://labor.hawaii.gov/equal-opportunity-program/">http://labor.hawaii.gov/equal-opportunity-program/</a> or attached following this addendum. In addition, employees shall have access to the procedure and forms that shall be posted on bulletin boards and other prominent places in the workplace.

The employee shall fill out the complaint forms and use either electronic mail, U.S. mail, interoffice mail or drop of the forms with the WIOA EO Officer in Room. 321 of the Keelikolani Building to register the complaint. Employees may also seek assistance before filing the complaint with the WIOA EO Officer.

The WiOA EO Officer shall hold a meeting or teleconference with the employee within seven working days of receipt of the complaint to hear the complaint and assist the complainant in completing the DLIR Form EO #1, if requested. The complainant shall be informed of the right to representation by an attorney or another individual of their choice, and of the option for Alternate Dispute Resolution (ADR) at this time. Receipt of the complaint will be acknowledged in writing within five working days of the meeting with the employee. This written acknowledgment shall contain:

- 1. A list of the issues raised in the complaint, and
- For each such issue, a statement whether the EO Officer will accept or reject the issue for investigation and the reasons for each rejection.

After issuing the written acknowledgment, the WIOA EO Officer shall conduct an investigation on the issues accepted for investigation by collecting all pertinent data, interviewing parties involved, and documenting the investigation.

The WIOA EO Officer shall prepare a report to the Director that describes the case, results of the investigation, and conclusion, and a recommended course of action or response to the complaint.

The DLIR Director shall issue to the complainant a written decision called a Notice of Final Action within 90 days of the date on which the complaint was filed. The Notice shall contain the following information:

- 1. For each issue accepted for investigation, a statement of the Director's decision on the issue and an explanation of the reasons underlying the decision or a description of the way the parties resolved the issue; and
- 2. Advise the complainant that the complainant has a right to file a complaint with the CRC within 30 days of the date on which the Notice of Final Action is issued if he or she is dissatisfied with the DLIR Director's final action on the complaint.

#### IV. **EQUAL OPPORTUNITY OFFICER**

For further information and all discrimination inquiries please contact:

Aadel Khandaker, Civil Rights & Equal Opportunity Officer Department of Labor and Industrial Relations Director's Office 830 Punchbowl St., Rm 321 Honolulu, Hawaii 96813 aadel.khandaker@hawaii.gov

Phone: (808) 586-8148

TDD/TTY: Dial 711, then ask for (808) 586-8866

# **EQUAL OPPORTUNITY IS THE LAW**

It is against the law for this recipient of Federal assistance to discriminate on the following bases:

Against any individual in the United States, on the basis of race, color, religion, sex (including pregnancy, childbirth, and related medical conditions, sex stereotyping, transgender status, and gender identity), national origin (including limited English proficiency), age, disability, political affiliation or belief, or,

Against any beneficiary of, applicant to, or participant in programs financially assisted under Title I of the Workforce Innovation and Opportunity Act, on the basis of the individual's citizenship status or participation in any WIOA Title I-financially assisted program or activity.

The recipient must not discriminate in any of the following areas:

deciding who will be admitted, or have access, to any WIOA Title I-financially assisted program or activity;

providing opportunities in, or treating any person with regard to, such a program or activity; or

making employment decisions in the administration of, or in connection with, such a program or activity.

# WHAT TO DO IF YOU BELIEVE YOU HAVE EXPERIENCED DISCRIMINATION

If you think that you have been subjected to discrimination under a WIOA Title I-financially assisted program or activity, you may file a complaint within 180 days from the date of the alleged violation with either:

the recipient's Equal Opportunity Officer (or the person whom the recipient has designated for this purpose); or

the Director, Civil Rights Center (CRC), U.S. Department of Labor, 200 Constitution Avenue NW, Room N-4123, Washington, DC 20210 or electronically as directed on the CRC Web site at www.dol.gov/crc.

If you file your complaint with the recipient, you must wait either until the recipient issues a written Notice of Final Action, or until 90 days have passed (whichever is sooner), before filing with the Civil Rights Center

(see address above).

If the recipient does not give you a written Notice of Final Action within 90 days of the day on which you filed your complaint, you do not have to

wait for the recipient to issue that Notice before filing a complaint with

CRC. However, you must file your CRC complaint within 30 days of the 90-day deadline (in other words, within 120 days after the day on which you filed your complaint with the recipient).

If the recipient does give you a written Notice of Final Action on your complaint, but you are dissatisfied with the decision or resolution, you may file a complaint with CRC. You must file your CRC complaint within 30 days of the date on which you received the Notice of Final Action.

The recipient **must not discriminate** in any of the following areas:

Decide who will be admitted, or have access, to any federally-assisted program or activity; provide opportunities in or treating any person with regard to, such a program or activity; or, make employment decisions in the administration of or in connection with, such program or activity.

If you are a WIOA applicant, participant, program staff, employee or other party affected by the local Workforce Development system, and think that you have been subjected to discrimination under a U.S. Department of Labor (U.S.DOL) WIOA Title I—financially assisted program or activity, you may file your complaint within 180 days from the date of the alleged violation.

WIOA applicants, participants, program staff, and employees affected by their respective Workforce Development systems in the following counties may file with the persons in their county listed below.

#### City and County of Honolulu:

Denise L. Tsukayama, CAAP, EO Officer/ADA Coordinator 650 South King Street, 10<sup>th</sup> Floor Honolulu, HI 96813 Phone: (808) 768-8505 TDD/ TTY: (808) 768-3489

Fax: (808) 768-8490

E-mail: dtsukayama@honolulu.gov

#### County of Maui:

Ralph Thomas
Equal Employment Opportunity Specialist
200 S. High Street, 9th Floor
Wailuku, Maui HI 96793
Phone: (808) 463-3168
TDD/TTY: Dial 711 then ask for (808)

270-6292

E-mail: Ralph.Thomas@co.maui.hi.us

#### County of Kauai:

Linda Nulan-Ames EEO/ADA Coordinator 4444 Rice Street Lihue, HI 96766 Phone: (808) 241–4924 TDD/TTY: (808) 241-4924

Fax: (808) 241 - 6877 E-mail: Inuland@kauai.gov

#### County of Hawaii:

Teri Spinola-Campbell Equal Opportunity Officer/ADA Coordinator 101 Pauahi St, Suite 2 Hilo, HI 96720-4224 Phone: (808) 961-8361 TDD/TTY: (808) 961-8619

Fax: (808) 961-8617

E-mail: teri.spinola-campbel@hawaiicounty.gov

Forms, which may be obtained either from the recipient's EO Officer or from CRC. The form is available electronically on the CRC's website (<a href="https://www.dol.gov/oasam/programs/crc/">https://www.dol.gov/oasam/programs/crc/</a>) and by making a request to CRC at the address listed below.

If you file your complaint with the State of Hawaii Equal Opportunity (EO) Officer within 180 days from the date of the alleged discriminatory act under the WIOA complaint procedure, you must wait until either DLIR issues a written Notice of Final Action or until 90 days have passed from the filing of the complaint (whichever is sooner) before filing with the CRC.

If DLIR does not give you a written Notice of Final Action within 90 days of the day on which you filed a complaint, you do not have to wait for DLIR to issue that Notice before filing a complaint with CRC. However, you must file your CRC complaint within 30 days of the 90-day deadline for the Notice, i.e., 120 days after the day on which you filed your complaint with DLIR.

If DLIR does give you a written Notice of Final Action on your complaint, but you are dissatisfied with the decision or resolution, you may file a complaint with the CRC. You must file your CRC complaint within 30 days of the date on which you received the Notice of Final Action.

To file with either DLIR or CRC:

#### DLIR:

Aadel Khandaker, Civil Rights & Equal Opportunity Officer
State of Hawaii Department of Labor and Industrial Relations Director's Office
830 Punchbowl St., Rm 321
Honolulu, Hawaii 96813
Phone: (808) 586-8148
TDD/TTY Dial 711 then ask for (808)

586-8866

Email: aadel.khandaker@hawaii.gov

#### OR CRC:

The Director
Civil Rights Center
U.S. Department of Labor
200 Constitution Avenue, N.W.
Room N-4123
Washington, D.C. 20210

#### ADDITIONAL PROTECTIONS AGAINST EMPLOYMENT DISCRIMINATION

In addition to the federal requirement that programs receiving federal financial assistance shall not discrimination against anyone (including applicants for benefits and services, recipients, participants, and other beneficiaries), both federal and state laws prohibit discrimination by employers, unions, and employment agencies against employees and job applicants. Federal law prohibits discrimination because of race, color, religion, sex, national origin, age, disability or genetic information. Hawai'i state law prohibits discrimination because of race, sex, including gender identity or expression, sexual orientation, age, religion, color, ancestry, disability, marital status, arrest and court record, domestic or sexual victim status, national guard participation, assignment of income for child support obligations, breastfeeding, or credit history or credit report. Both federal and state law prohibit retaliation against any individual for opposing prohibited discrimination, filing a complaint, or testifying or assisting in any proceeding regarding prohibited discrimination.

If you think you have been subjected to employment discrimination, you can file a complaint with the Hawai'i Civil Rights Commission (HCRC) or the U.S. Equal Employment Opportunity Commission (EEOC). The deadline for filing a complaint with the HCRC is 180 days from the date of the alleged discriminatory act (or last act in a pattern of ongoing discrimination). The deadline for filing an EEOC complaint is 300 days.

#### FOR MORE INFORMATION, CONTACT:

Hawai'i Civil Rights Commission (HCRC) 830 Punchbowl Street, Room 411 Honolulu, Hawai'i 96813

Phone: (808) 586-8636 TDD: (808) 586-8692

Neighbor Islands, call toll free: Kaua'i: 274-3141, Ext. 6-8636# Maui: 984-2400, Ext. 6-8636# Hawai'i: 974-4000, Ext. 6-8636#

Lana'i & Moloka'i: 1-800-468-4644, Ext. 6-8636#

Email: <u>DLIR.HCRC.INFOR@hawaii.gov</u> Website: http://labor.hawaii.gov/hcrc

Or

U.S. Equal Employment Opportunity Commission (EEOC) Honolulu Local Office

300 Ala Moana Boulevard, Room 7-127

Honolulu, Hawai'i 96850 Phone: (808) 541-3118 Toll-Free: 1-800-669-4000

Website: http://www.eeoc.gov

Individuals may also obtain information and assistance from the State WIOA EO Officer:

Aadel Khandaker, Civil Rights & Equal Opportunity Officer State of Hawaii Department of Labor and Industrial Relations Director's Office 830 Punchbowl St., Rm. 321 Honolulu, Hawaii 96813 Phone: (808) 586-8148

Fax: (808) 586-9099

TDD/TTY: Dial 711 then ask for (808) 586-8866

Email: aadel.khandaker@hawaii.gov

## CERTIFICATION

This is to certify that the above information on Equal Opportunity and Non- Discrimination was explained to me and that I have received a copy of this notice.

Print Applicant's Name	
Applicant's Signature	Date

## HOW TO FILE AN EQUAL OPPORTUNITY COMPLAINT

## **GENERAL PROVISIONS**

## (Workforce Innovation and Opportunity Act Title I—funded program or activity)

- The complainant has the burden of establishing the validity and merits of his or her complaint.
- 2. The complainant may discontinue his or her complaint by submitting a "Withdrawal/Release of Equal Opportunity Complaint" (Appendix C).
- 3. Recipients of Workforce Innovation and Opportunity Act (WIOA) Title I financial assistance, including the entities enumerated in No. 4 following this, must keep a complaint log (Appendix D) for monitors to review for oversight purposes.
- 4. All Recipients and Service Providers, State-level agencies that administer WIOA Title 1 funds, State Employment Security Agencies, State & Local Workforce Investment Boards, Grant Recipients, One-Stop Operators, Training Providers, On-the-Job Training Employers, Employers, and entities participating in the Job Corps program are required to meet language accessibility laws according to 29 C.F.R. § 38.35.

For persons with limited English proficiency (LEP) who need assistance to understand these procedures or to complete the complaint forms, an interpreter will be provided at no cost. If you need language assistance, please contact us by telephone at (808) 586-8866 or in person immediately.

ILOKANO	TAGALOG	CHINES SIMPLIFI 简体中3	ED TRADITIONA	 ANISH pañol	JAPANESE 日本語	CHUUKESE Fosun Chuuk
MARSHALLESE Kajin Majol			KOREAN 한국어		VIETNAM Tiếng V	

1 (808) 586-8866 1

## **WIOA COMPLAINT PROCEDURES**

These procedures are divided into whether they are complaints about discrimination prohibited by –

- ✓ Federal laws prohibiting discrimination against anyone (including applicants for benefits and services, recipients, On-the-Job Training employers, participants, and other beneficiaries); or
- ✓ Federal and/or State statutes against employment discrimination only.

**Section A** covers complaints about discrimination prohibited by the WIOA, which includes discrimination against applicants, registrants or participants of benefits and services by Recipients including State-level agencies that administer WIOA Title 1 funds, State Employment Security Agencies, State & Local Workforce Investment Boards, Grant Recipients, One-Stop Operators, Training Providers, On-the-Job Training Employers, Employers, and entities participating in the Job Corps program. **Section B** covers complaints about discrimination prohibited against employment discrimination only.

- A. Complaints Covered by Federal Laws Prohibiting Discrimination Against Anyone.
  - It is against the law for recipients of WIOA Title I financial assistance, including the State of Hawaii Department of Labor and Industrial Relations (DLIR) and the State and Local Workforce Investment Boards or their Service Providers, to discriminate:
    - Against any individual in the United States on the basis of
      - > Race
      - > Color
      - Religion
      - Sex (including pregnancy, childbirth or related medical conditions, gender identity, and transgender status)
      - National Origin (including limited English proficiency)
      - ▶ Age
      - > Disability
      - > Political affiliation or belief
      - > Retaliation, and
    - Against any beneficiary of programs financially assisted by the U.S. Department of Labor based on the beneficiary's citizenship/status as a lawfully admitted immigrant authorized to work in the United States, or his or her participation in any WIOA Title I—financially-assisted program or activity.
  - 2. The recipient of WIOA Title I financial assistance must not discriminate directly or through contractual, licensing, or other arrangements, on any basis described above and must not:
    - A. Deny an individual any aid, benefits, services, or training provided under a WIOA Title I—funded program or activity;

- B. Provide to an individual any aid, benefits, services, or training that is different, or is provided in a different manner, from that provided to others under a WIOA Title I—funded program or activity;
- C. Subject an individual to segregation or separate treatment in any matter related to his or her receipt of any aid, benefits, services, or training under a WIOA Title I—funded program or activity;
- D. Restrict an individual in any way in the enjoyment of any advantage or privilege enjoyed by others receiving any aid, benefits, services, or training under a WIOA Title I—funded program or activity;
- E. Treat an individual differently from others in determining whether he or she satisfies any admission, enrollment, eligibility, membership, or other requirement or condition for any aid, benefits, services, or training provided under a WIOA Title I—funded program or activity;
- F. Deny or limit an individual with respect to any opportunity to participate in a WIOA Title I—funded program or activity, or afford him or her an opportunity to do so that is different from the opportunity afforded others under a WIOA Title I—funded program or activity;
- G. Deny an individual the opportunity to participate as a member of a planning or advisory body that is an integral part of the WIOA Title I—funded program or activity; or
- H. Otherwise limit on a prohibited ground an individual in enjoyment of any right, privilege, advantage, or opportunity enjoyed by others receiving any WIOA Title I—financially assisted aid, benefits, services, or training.

#### 3. Retaliation

A recipient of WIOA Title I financial assistance must not discharge, intimidate, retaliate, threaten, coerce or discriminate against any individual because the individual has:

- A. Filed a complaint alleging a violation of Section 188 of WIOA;
- Opposed a practice prohibited by the nondiscrimination and equal opportunity provisions of WIOA;
- C. Furnished information to, assisted, or participated in any manner in an investigation, review, hearing, or any other activity related to any of the following:
  - Administration of the nondiscrimination and equal opportunity provisions of WIOA;
  - II. Exercise of authority under those provisions: or
  - III. Exercise of privilege secured by those provisions; or
- D. Otherwise exercised any rights and privileges under the nondiscrimination and equal opportunity provisions of WIOA.

#### 4. Who May File

Any individual who believes that he or she or any specific class of individuals has been or is being subjected to discrimination prohibited by federal law may file a written complaint, either by themselves or through a representative.

5. What to Do if You Believe You Have Experienced Discrimination

If you are a WIOA applicant, participant, program staff, employee or other party affected by the local Workforce Investment system, and think that you have been subjected to discrimination under a U.S. Department of Labor (U.S.DOL) WIOA Title I—financially assisted program or activity, you may file your complaint within 180 days from the date of the alleged violation using this procedure and forms.

You may also file with the Hawaii Civil Rights Commission (HCRC) within 180 days, or the U. S. Equal Employment Opportunity Commission (EEOC) within 300 days of the alleged discriminatory act. A WIOA discrimination complaint does not have to be filed before the filing of a complaint with the HCRC or EEOC, and the filing of an internal DLIR or union complaint does not extend the deadlines for filing a complaint with the HCRC or EEOC.

#### Where to File

6. WIOA applicants, participants, program staff, and employees affected by their respective Workforce Investment system in the following counties may file with the persons in their county listed below.

#### City and County of Honolulu:

Denise L. Tsukayama, CAAP, EO Officer/ADA Coordinator 650 South King Street, 10<sup>th</sup> Floor Honolulu, HI 96813 Phone: (808) 768-8505 TDD/ TTY: (808) 768-3489

Fax: (808) 768-8490

E-mail: dtsukayama@honolulu.gov

#### County of Maui:

Ralph Thomas
Equal Employment Opportunity Specialist
200 S. High Street, 9th Floor
Wailuku, Maui HI 96793
Phone: (808) 463-3168
TDD/TTY: Dial 711 then ask for (808)

270-6292

E-mail: Ralph.Thomas@co.maui.hi.us

#### County of Kauai:

Linda Nulan-Ames EEO/ADA Coordinator 4444 Rice Street Lihue, HI 96766 Phone: (808) 241–4924 TDD/TTY: (808) 241-4924

Fax: (808) 241 - 6877 E-mail: <u>Inuland@kauai.gov</u>

#### County of Hawaii:

Teri Spinola-Campbell Equal Opportunity Officer/ADA Coordinator 101 Pauahi St, Suite 2 Hilo, HI 96720-4224 Phone: (808) 961-8361 TDD/TTY: (808) 961-8619

Fax: (808) 961-8617

E-mail: teri.spinola-campbell@hawaiicounty.gov

7. You may file a complaint by completing and submitting the U.S. DOL's Civil Rights Center's (CRC's) Complaint Information and Privacy Act Consent Forms, which may be obtained either from the recipient's EO Officer or from CRC. The form is available electronically on the CRC's website

(<a href="https://www.dol.gov/oasam/programs/crc/">https://www.dol.gov/oasam/programs/crc/</a>) and by making a request to CRC at the address listed below.

- 8. If you file your complaint with the State of Hawaii Equal Opportunity (EO) Officer within 180 days from the date of the alleged discriminatory act under this WIOA complaint procedure, you must wait until either DLIR issues a written Notice of Final Action or until 90 days have passed from the filing of the complaint (whichever is sooner) before filing with the CRC.
- 9. If DLIR does not give you a written Notice of Final Action within 90 days of the day on which you filed a complaint, you do not have to wait for DLIR to issue that Notice before filing a complaint with CRC. However, you must file your CRC complaint within 30 days of the 90-day deadline for the Notice, i.e., 120 days after the day on which you filed your complaint with DLIR.
- 10. If DLIR does give you a written Notice of Final Action on your complaint, but you are dissatisfied with the decision or resolution, you may file a complaint with the CRC. You must file your CRC complaint within 30 days of the date on which you received the Notice of Final Action.
- 11. To file with either DLIR or CRC:

#### DLIR:

Aadel Khandaker, Civil Rights & Equal Opportunity Officer
State of Hawaii Department of Labor and Industrial Relations
Director's Office
830 Punchbowl St., Rm 321
Honolulu, Hawaii 96813
Phone: (808) 586-8148

TDD/TTY: Dial 711 then ask for (808)

586-8866

Email: aadel.khandaker@hawaii.gov

#### OR CRC:

The Director
Civil Rights Center
U.S. Department of Labor
200 Constitution Avenue, N.W.
Room N-4123
Washington, D.C. 20210

12. Current State or County employees belonging to a union may also file a complaint or grievance with their union in their respective counties:

HGEA Headquarters & Oahu Division Office

888 Mililani St., Suite 601 Honolulu, HI 96813-2991

Phone: (808) 543-0000 or 536-2351

Fax: (808) 528-4059 E-mail: oahudiv@hgea.org

Hawaii Division Office

495 Manono St. Hilo, HI 96720-4422 Phone: (808) 935-6841

Fax: (808) 961-2437

E-mail: hawaiidiv@hgea.org

#### Maui Division Office

2145 Kaohu St., Suite 206 Wailuku, Hl 96793-2257 Phone: (808) 244-5508 Fax: (808) 244-3621 E-mail: mauidiv@hgea.org

#### Kauai Division Office

3213 Akahi St.

Lihue, HI 96766-1108 Phone: (808) 245-6751 Fax: (808) 245-9632 E-mail: kauaidiv@hgea.org

#### 13. Aliens/Intending citizens may file a complaint with:

U.S. Department of Justice Office of Special Counsel 1730 M St. NW #218 P. O. Box 27728 Washington, D.C. 20038-2217 Phone: (202) 254-3600 (Voice)

#### OR (subject to No. 6-10 above)

Department of Labor and Industrial Relations
Aadel Khandaker, Civil Rights/Equal Opportunity Officer
Director's Office
830 Punchbowl Street, Room 321
Honolulu, Hi 96813
Phono: (808) 586,8148 (Voice)

Phone: (808) 586-8148 (Voice)

TDD/TTY: Dial 711 then ask for (808) 586-8866

Email: aadel.khandaker@hawaii.gov

14. Current DLIR employees may also file a complaint pursuant to DLIR's Addendum to DHRD's Discrimination/Harassment-Free Workplace Policy.

#### 15. Content of Complaints

Each complaint shall be filed in writing, either electronically or in hard copy, on the form in Appendix B, or on the Local Area's form if applicable, or the CRC form, and shall:

- A. Be signed in writing or electronically by the complainant or an authorized representative of the complainant.
- B. Contain the complainant's name and address, and, if available, email address (or other means of contacting the complainant).
- C. The identity of the respondent (the individual or entity that the complainant alleges is responsible for the discrimination).
- D. A description of the complainant's allegations. This description must include enough detail to determine whether:
  - The appropriate agency has jurisdiction over the complaint;
  - II. The complaint was timely filed; and
- III. The complaint has apparent merit; in other words, whether the complainant's allegations, if true, would violate any of the nondiscrimination and equal opportunity provisions of WIOA.

#### 16. Right to Representation

Any party to a complaint has the right to be represented by an attorney or individual of their choice.

#### 17. Complaint Processing:

- A. The DLIR Equal Opportunity Officer (EO Officer) shall hold a meeting with the complainant within seven working days of receipt of the complaint to hear the complaint and assist the complainant in completing the DLIR Form EO-1 (see Appendix B), if requested. At this meeting, the complainant shall be informed of the right to representation by an attorney or another individual of his or her choice, and of the Alternate Dispute Resolution (ADR) process.
- B. The complainant shall be advised of the options available for redress, given a copy of the notice of rights contained in 29 C.F.R. § 38.30, and given a Notice to Individuals Filing Discrimination Complaints (see Appendix A).
- C. Receipt of the complaint will be acknowledged in writing within five working days of the meeting with the complainant. This written acknowledgment shall contain:
  - I. A list of the issues raised in the complaint, and
  - II. For each such issue, a statement whether the recipient will accept or reject the issue for investigation and the reasons for each rejection.

- D. If a recipient determines that it does not have jurisdiction over a complaint, it must notify the complainant, in writing, immediately. This Notice of Lack of Jurisdiction must include:
  - I. A statement of the reasons for that determination; and
  - II. Notice that the complainant has a right to file a complaint with CRC within 30 days of the date on which the complainant receives the Notice of Lack of Jurisdiction.
- E. Where the complaint does not appear valid (no prima facie case), the complainant will be notified of the reasons that the complaint will not be pursued through the complaint process and, if not satisfied, advised of other potential avenues of redress.
- F. After issuing the written acknowledgment, the EO Officer shall conduct an investigation on the issues accepted for investigation by collecting all pertinent data, interviewing parties involved, and documenting the investigation.
- G. The methods available to resolve the complaint must include ADR as described in No. 18 below.
- H. The EO Officer shall prepare a report to the Director that describes the case, results of the investigation, and conclusion, and recommends a course of action or response to the complaint.
- I. The DLIR Director shall issue to the complainant a written decision called a Notice of Final Action within 90 days of the date on which the complaint was filed. This Notice shall contain the following information:
  - For each issue accepted for investigation, a statement of the Director's decision on the issue and an explanation of the reasons underlying the decision or a description of the way the parties resolved the issue; and
  - II. Advise the complainant that the complainant has a right to file a complaint with the CRC within 30 days of the date on which the Notice of Final Action is issued if he or she is dissatisfied with the DLIR Director's final action on the complaint.
- J. Complainants who file with DLIR/recipient must wait until a decision is issued or until 90 days have passed, whichever is sooner, before filing with the CRC. If the complainant has not been provided with a written decision within 90 days of the filing of the complaint, the complainant need not wait for a decision to be issued, but may file a complaint with the CRC within 30 days of the expiration of the 90-day period.

- 18. Alternate Dispute Resolution (ADR) Processing
  - A. Any complainant who files a complaint will be given the option of using ADR as a means of resolving the complaint. The choice to use ADR or the customary complaint process rests with the complainant.
  - B. Complainants will be directed to the DLIR EO Officer who will evaluate the case, and refer the parties to an ADR provider if mutually agreed upon. The ADR provider shall schedule a meeting among all the parties.
  - C. Upon completion of mediation process the ADR provider will issue a disposition of the case.
  - D. A party to any agreement reached under ADR may file a complaint with the CRC Director in the event the agreement is breached. In such circumstances, the following rules will apply:
    - The non-breaching party may file a complaint with the CRC Director within 30 days of the date on which the non-breaching party learns of the alleged breach;
    - II. The CRC Director must evaluate the circumstances to determine whether the agreement has been breached. If he or she determines that the agreement has been breached, the complainant may file a complaint with CRC based upon complainant's original allegation(s), and the CRC will waive the time deadline for filing such a complaint.
  - E. If the parties do not reach an agreement under ADR, the complainant may continue the process as outlined in No. 17 above or may file a complaint with the CRC.

# B. Complaints Prohibited by Federal and/or State Laws against Employment Discrimination Only

 In addition to the prohibited discrimination described in section A, both federal and state laws prohibit discrimination by employers, unions, and employment agencies against employees and job applicants.

Individuals can seek relief and request an investigation through the methods listed below. In addition to filing a complaint with the HCRC, EEOC, or the appropriate union, an employee or job applicant who believes he or she has been subjected to unlawful discrimination can file a workforce complaint with DLIR (DLIR employees may also file an internal complaint). A workforce DLIR complaint does not have to be filed before the filing of a complaint with the HCRC or EEOC, and the filing of an internal DLIR or union complaint does not extend the deadlines for filing a complaint with the HCRC or EEOC.

Federal fair employment law prohibits discrimination based on:

- Race
- ➢ Color
- > Religion
- > Sex, including gender identity or expression
- > Sexual orientation
- Pregnancy
- > National Origin
- ➤ Age
- > Disability
- Genetic Information
- > Retaliation
- > Political affiliation or belief (CRC processing only)
- Veteran status¹
- National guard absence<sup>1</sup>
- Uniformed service<sup>1</sup>
- > Citizenship<sup>2</sup>
- 1. Enforced by DOL's Veterans Employment and Training Service (VETS).
- 2. Enforced by DOJ's Office of Special Counsel for Immigration Related Unfair Employment Practices (OSC).

Hawaii state fair employment law prohibits discrimination based on:

- > Race
- Sex, including gender identity or expression
- > Sexual orientation
- Age
- Religion
- > Color
- Ancestry
- > Disability
- > Marital status
- > Arrest and court record
- Domestic or sexual violence victim status
- > National guard participation

- Income assignment for child support obligations
- Breastfeeding
- Credit history or credit report
- Retaliation

Both federal and state laws prohibit retaliation against any individual for opposing prohibited discrimination, filing a complaint, or testifying or assisting in any proceeding regarding prohibited discrimination.

#### 2. What to Do if You Believe You Have Experienced Discrimination

If you think you have been subjected to **employment** discrimination in one or more ways as described in section B.1, you may file a complaint using these procedures and forms or file a complaint with the Hawaii Civil Rights Commission (HCRC) or the U.S. Equal Employment Opportunity Commission (EEOC).

#### 3. When to File

The deadline for filing a complaint with the HCRC is 180 days from the date of the alleged discriminatory act (or last act in a pattern of ongoing discrimination). The deadline for filing an EEOC complaint is 300 days from the date of the alleged discriminatory act.

#### 4. Where to File and Seek More Information:

Hawaii Civil Rights Commission (HCRC) 830 Punchbowl Street, Room 411 Honolulu, Hawai'i 96813

Phone: (808) 586-8636 TDD/TTY: (808) 586-8692 Neighbor Islands, call toll free: Kaua'i: 274-3141, Ext. 6-8636# Maui: 984-2400, Ext. 6-8636# Hawai'i: 974-4000, Ext. 6-8636#

Lana'i & Moloka'i: 1-800-468-4644, Ext. 6-8636#

Email: DLIR.HCRC.INFOR@hawaii.gov Website: http://labor.hawaii.gov/hcrc

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U.S. Equal Employment Opportunity Commission (EEOC)

Honolulu Local Office 300 Ala Moana Boulevard, Room 7-127

Phone: (808) 541-3118 Toll-Free: 1-800-669-4000 Website: http://www.eeoc.gov

Honolulu, Hawai'i 96850

Individuals may also obtain information and assistance from the DLIR EO Officer:

Aadel Khandaker, Civil Rights & Equal Opportunity Officer State of Hawaii Department of Labor and Industrial Relations Director's Office 830 Punchbowl St., Rm. 321 Honolulu, Hawaii 96813

Phone: (808) 586-8148 Fax: (808) 586-9099

TDD/TTY: Dial 711 then ask for (808) 586-8866

Email: aadel.khandaker@hawaii.gov

- 5. Affected State or County employees belonging to a union may file a complaint with their union in their local areas as listed in item A.12 above.
- 6. DLIR employees may file a complaint pursuant to DLIR's Addendum to DHRD's Discrimination/Harassment-Free Workplace Policy.

Equal Opportunity Employer/Program

Auxiliary aids and services are available upon request to individuals with disabilities.

TDD/TTY Dial 711 then ask for (808) 586-8866

# State of Hawaii Department of Labor and Industrial Relations WORKFORCE NOTICE TO PERSONS WHO BELIEVE THEY HAVE BEEN DISCRIMINATED AGAINST (NON-EMPLOYMENT OR EMPLOYMENT) IN ANY WIOA TITLE I FUNDED PROGRAM OR ACTIVITY.

- Any person who believes that he or she or any specific class of individuals has been or is being subjected to discrimination prohibited by Section 188 of the Workforce Innovation and Opportunity Act (WIOA), or 29 C.F.R. Part 38, as amended; Titles VI and VII of the Civil Rights Act of 1964, as amended; Section 504 of the Rehabilitation Act of 1973, as amended; the Age Discrimination Act of 1975, as amended; or Title IX of the Education Amendments of 1972, as amended; may file a written complaint by themselves or by a representative.
- An EO complaint Form, DLIR-WIOA EO Form #1 (see Appendix B), is to be submitted
  to file your complaint. If you choose to present your complaint orally, you will be asked
  to sign a DLIR-WIOA EO Form #1 and it will be completed for you based on
  information you provide.
- Each complaint filed shall be signed by the complainant or authorized representative; contain the complainant's name and address (or specify another means of contact); identify the alleged discriminatory official; and describe the complainant's allegations in sufficient detail to allow for the determination of whether the appropriate agency has jurisdiction over the complaint, the complaint was timely filed, and the complaint has apparent merit.
- Each complainant has the right to be represented by an attorney or other individual of the complainant's choice.
- Each complainant has the right to pursue Alternative Dispute Resolution (ADR).
- A complainant may request notification in writing of each of the steps taken in the
  processing of his or her complaint and of any decision reached at any of these steps.
   The complainant will be notified ahead of time of any conference to be held regarding
  the complaint.
- The complainant and all participants in the investigation process are assured freedom from restraint, interference, coercion, or reprisal.
- A complaint may be withdrawn by a complainant under departmental procedures at any time by submitting a Withdrawal/Release of EO Complaint Form, DLIR-WIOA EO Form #2, (see Appendix C).
- A complaint must be filed within 180 days of the alleged discrimination.

Equal Opportunity Employer/Program

Auxillary aids and services are available upon request to individuals with disabilities,

TDD/TTY Dial 711 then ask for (808) 586-8866

CONFIDENTIAL Appendix B

# STATE OF HAWAII Department of Labor and Industrial Relations

# WORKFORCE EQUAL OPPORTUNITY COMPLAINT FORM (Please Print)

Name:		SSN: (last four only)
Date Received:		
Street Address:	Apt.	#
		Zip Code:
Telephone Home:	Cell:	· · · · · · · · · · · · · · · · · · ·
Email:	TOP TO THE STATE OF THE STATE O	
Basis for alleged discrimir if Employment Related) Employment Related: Ye		One in the Boxes Below and Circle
ransgender status) □ Age □ Political Affiliation/Belie □ WIOA Participation	<ul> <li>□ National Origin (including</li> <li>□ Sexual Orientation</li> <li>of □ Genetic information</li> </ul>	☐ Ancestry☐ Disability☐ Retaliation☐
Nature of the alleged disc	rimination (Examples: recruitr	ment, interviewing, testing,
raining, transfer, discharg	otion, worksite placement, pe le, work assignment, leave of ction, job referral, etc.). <i>Pleas</i> e	absence, pregnancy, benefit
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		Appendix B	
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this complaint and on any p and belief. I also agree to n address or telephone numb	ignature below, I certify that all the statements eage(s) attached are true to the best of my knootify the Equal Opportunity Officer if I change eer(s). I further understand that I may withdraw britting a Withdrawal/Release of Equal Opportunity	owledge · my w my	
Date S	Signature		
Date	JGI RIGIO		
To be filled out by departmen	ntal personnel only. Case Number:		
Notes:			
DLIR-WIOA EO Form #1 R	ev 10/2016	CONFIDENTIAL	
Equal Opportunity Employer/Program Auxiliary aids and services are available upon request to individuals with disabilities. TDD/TTY Diai 711 then ask for (808) 586-8866			

#### CONFIDENTIAL

# State of Hawaii

Department of Labor and Industrial Relations				
WITHDRAWAL/RELEASE OF EQUAL OPPORTUNITY COMPLAINT				
Name (Please print)	Complaint number			
Nature of complaint	Date filed			
STATEMENT				
I hereby withdraw my complaint of discrimina	ation. I further release			
and forever discharge the State of Hawaii, Department of Labor and				
Industrial Relations/recipient, and all their past and present officers,				
employees, and agents from any and all claims, demands, actions, causes of actions, or suits at law or in equity, known or unknown, concerning the act				
above.				
I have read and fully understand the foregoing, and make this				
withdrawal and release voluntarily and of my own free will, without coercion				
or duress from anyone.				
Signature of complainant	Date			

DLIR-WIOA EO Form #2 (Rev 10/16)

Equal Opportunity Employer/Program

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TDD/TTY Dial 711 then ask for (808) 586-8866

# Discrimination Complaint Log

Name of entity		
Date of Complaint		
Name of Complainant		
Address of Complainant	1 1001	
Status of Complainant		
DOL-funded Program		
Date of Alleged Discriminatory Incident		
Grounds/Bases of Complaint		
Description/Issue of Complaint		
Name of Respondent		
Is Respondent a Recipient		
Date of Disposition		
Disposition		
Alternative Dispute Resolution		

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TDD/TTY Dial 711 then ask for (808) 586-8866